

Towards Silicon Photonics 4.0

Roel Baets, Em. Prof.

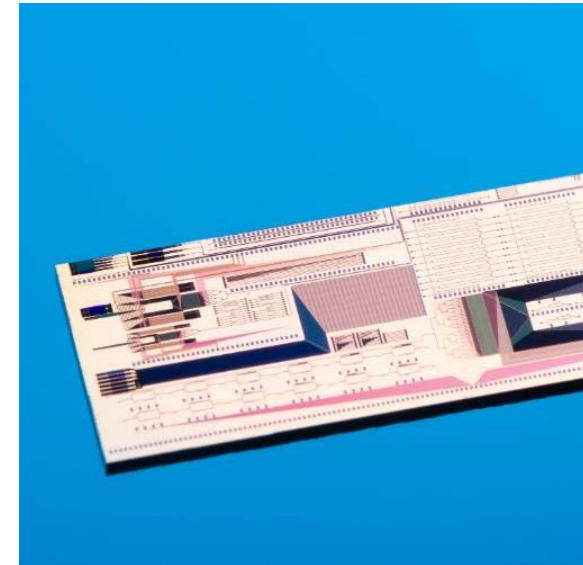
Ghent University (UGent) – imec – ePIXfab



This presentation is based on a plenary presentation at the European Conference on Optical Communications (ECOC) in Frankfurt (22-26 Sept 2024)

SILICON PHOTONICS

The implementation of high density photonic integrated circuits by means of CMOS(-like) process technology on large silicon wafers



Pictures, courtesy of imec

Enabling complex optical functionality on a compact chip at low cost

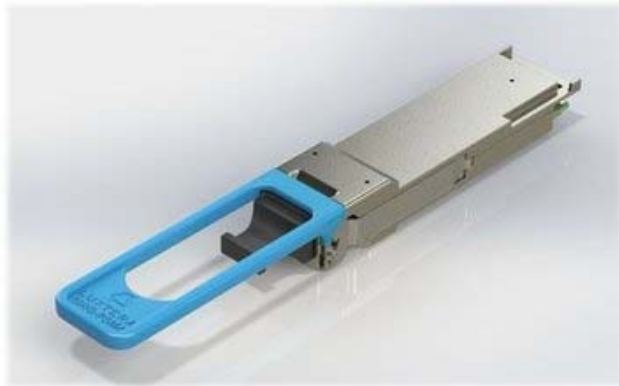
THE KEY DRIVER FOR WAFER DEMAND (SO FAR): DATA CENTERS



For a typical data center:

- 100K to 1M servers
- multiple optical fiber connections per server
- 100's MWatt energy consumption

SILICON PHOTONICS TRANSCEIVERS FOR DATA CENTERS AND FOR TELECOM

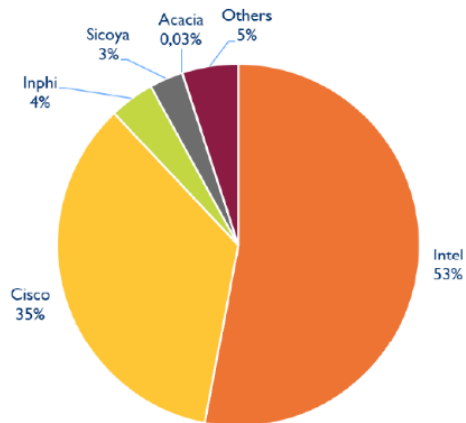


Typical data rate: 100-800 Gb/s

Typical symbol rate: 25-50 GBaud

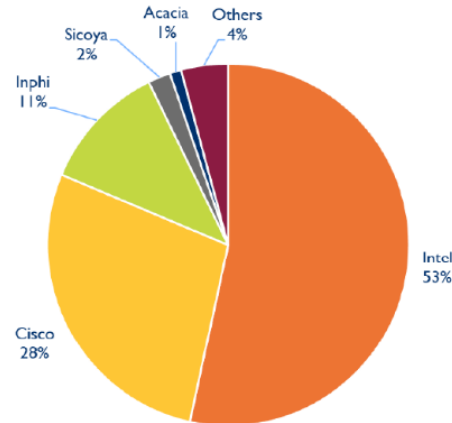
- PSM4 (4 parallel fibers)
- WDM (4 wavelengths)
- Polarisation multiplexing
- PAM4
- Coherent (QPSK, 16-QAM)

Datacenter silicon photonic transceiver market share in units



4.9 Munits

Datacenter silicon photonic transceiver market share in dollars



\$581M

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Yole, 2021

Observations

- Most manufacturing and product companies in the field of silicon photonics are US based or SE/E-Asia based
- The EU has very strong R&D players in silicon photonics
- India is building up strong R&D capacity in silicon photonics
- The field is diversifying quickly (new materials, technologies, markets)
- The diversity will bring opportunities for both EU and India
- Cooperation may be key in this context

➔ **Trends in silicon photonics research**
illustrated by imec's research portfolio

Transitioning from research to industrial supply chain
the challenges

Addressing the challenges
towards silicon photonics 4.0

THE NEW NEEDS

Communication and Interconnect

Higher symbol rates, beyond 100 Gbaud, and lower latency

Higher interconnect bandwidth with higher spatial density

Higher energy efficiency

Seamless integration with light sources, with electronics, co-packaged optics

Other application areas

New wavelength ranges, from UV to mid-IR

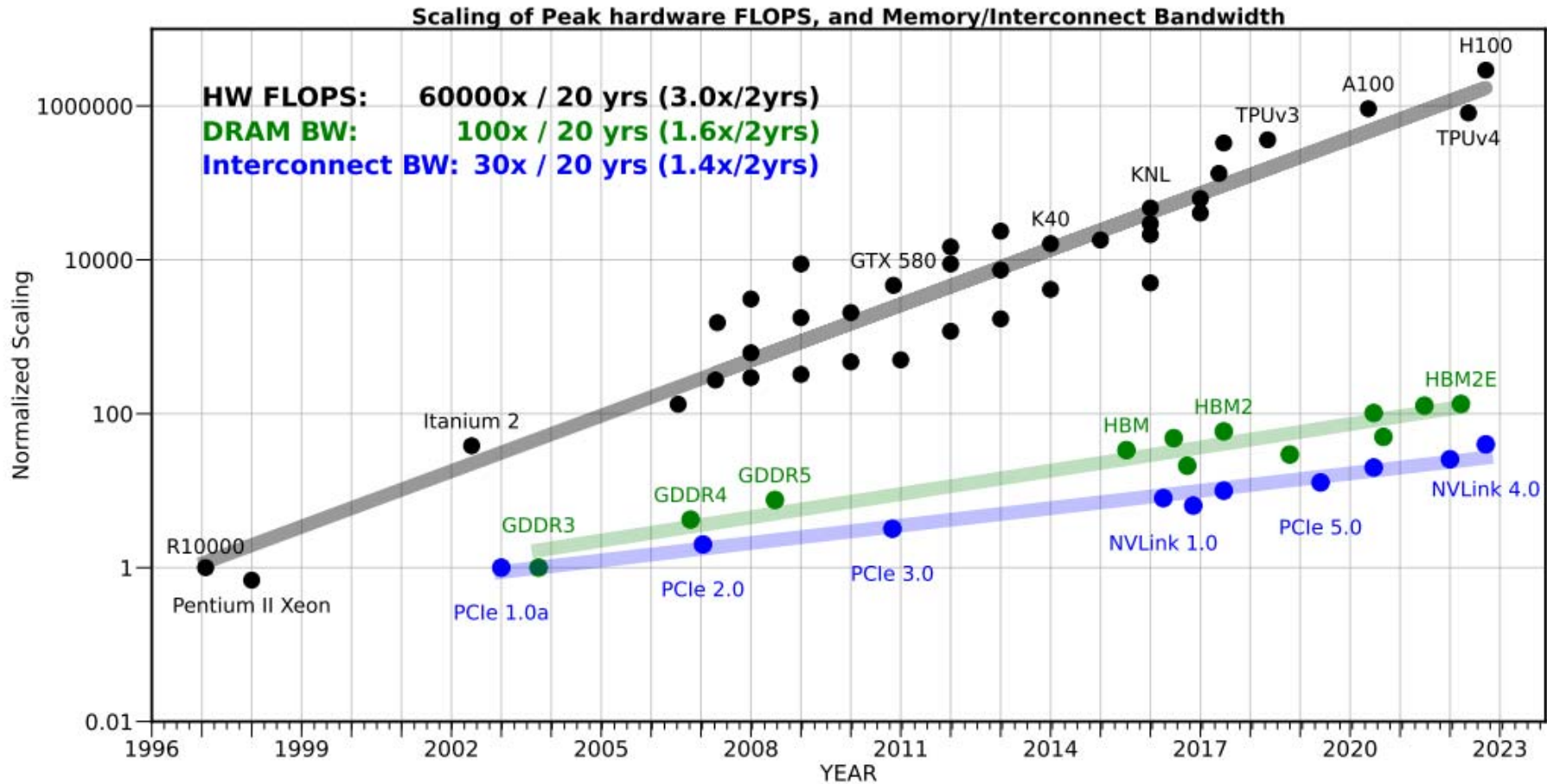
Higher optical powers

Lower optical losses

New on-chip photonic functions (advanced sources, isolators, non-volatile memory, ...)

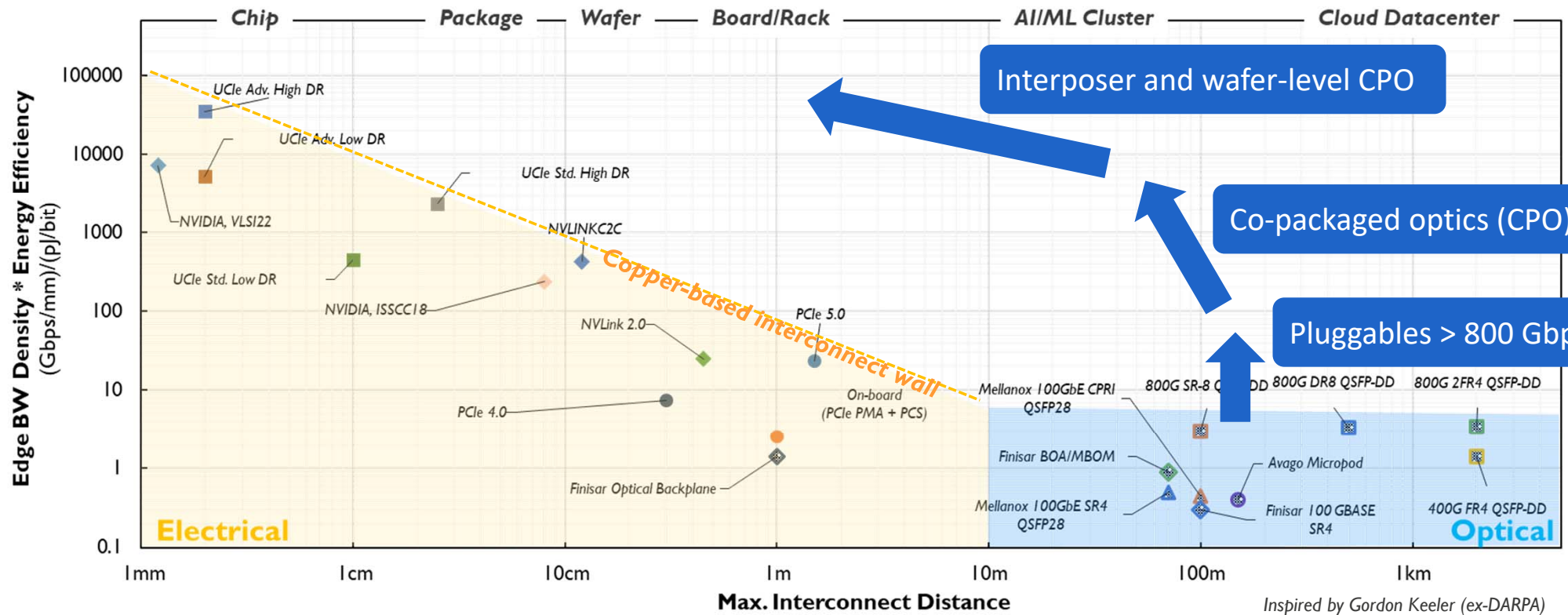
...

THE INTERCONNECT BOTTLENECK IN COMPUTE SYSTEMS



Amir Gholami et al, AI and Memory Wall, IEEE Micro 2024, <https://arxiv.org/pdf/2403.14123>

THE INTERCONNECT ROADMAP



- bandwidth density
- power efficiency
- latency
- error free w/o FEC



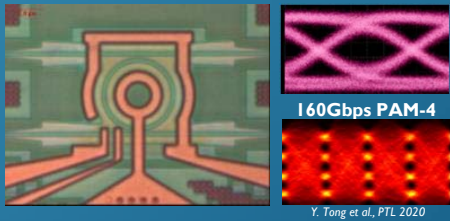
- bandwidth per fiber/lane
- distance
- cost

R&D TECHNOLOGY DEVELOPMENTS – SOME EXAMPLES

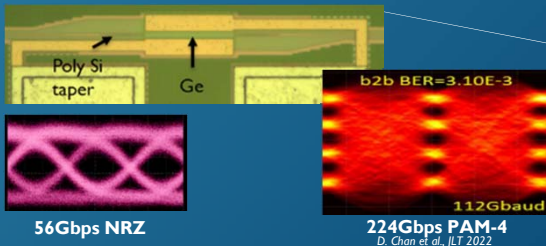
- integrated laser sources
- modulators with higher bandwidth / lower power
- optically interconnected system-on-wafer

ISiPP: IMEC'S SILICON PHOTONICS TECHNOLOGY

Silicon Ring Modulator



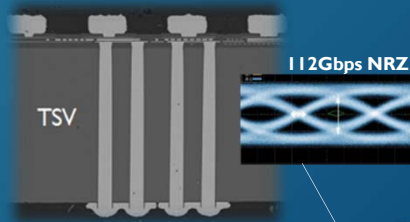
GeSi Electro-Absorption Modulator



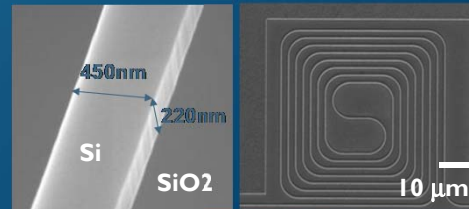
Silicon Mach-Zehnder Modulator



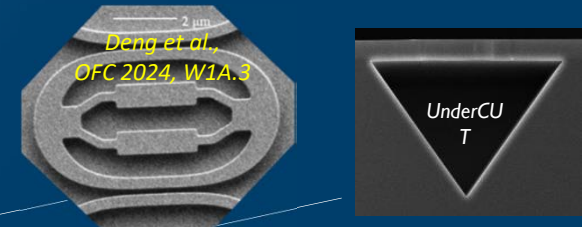
High-Speed TSV
(300mm only)



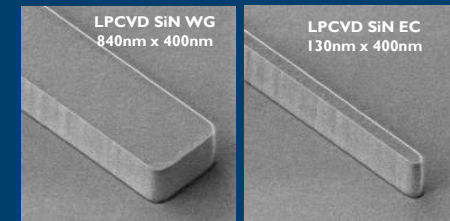
High-density Si Waveguides (0.5-2dB/cm)



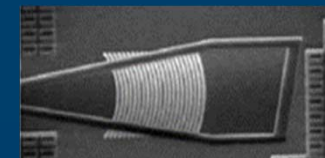
Ultra-Compact Si WDM filters (193nm immersion)
with high thermal tuning efficiency ($\rightarrow 1\text{mW} / P_{\text{th}}$)



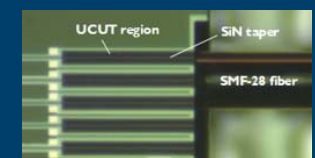
Integrated LPCVD SiN Waveguides



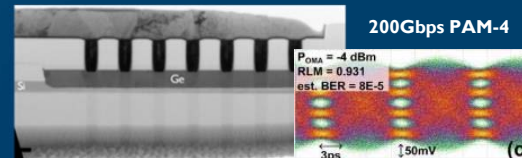
SMF Grating Coupler (<2dB)



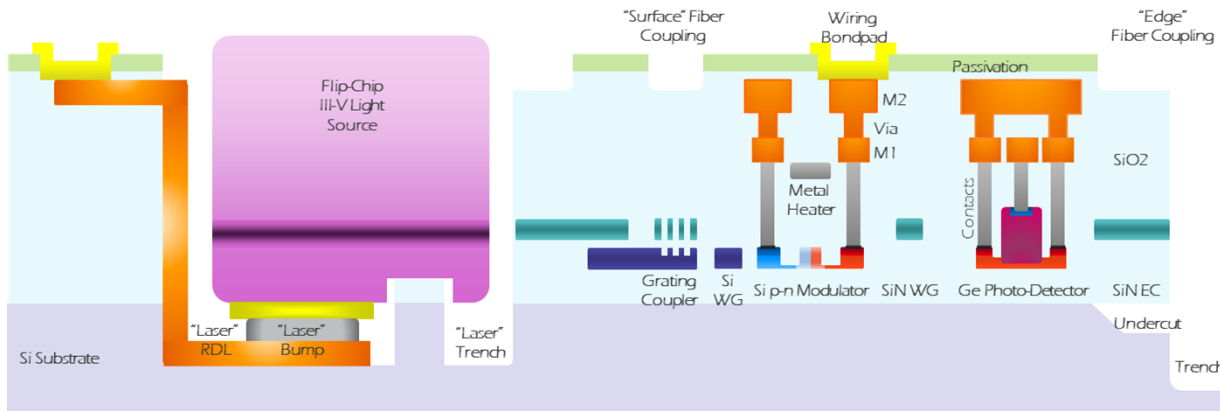
High-NA (<2dB) & SMF Edge Couplers (~2dB)



Ge Photodetector

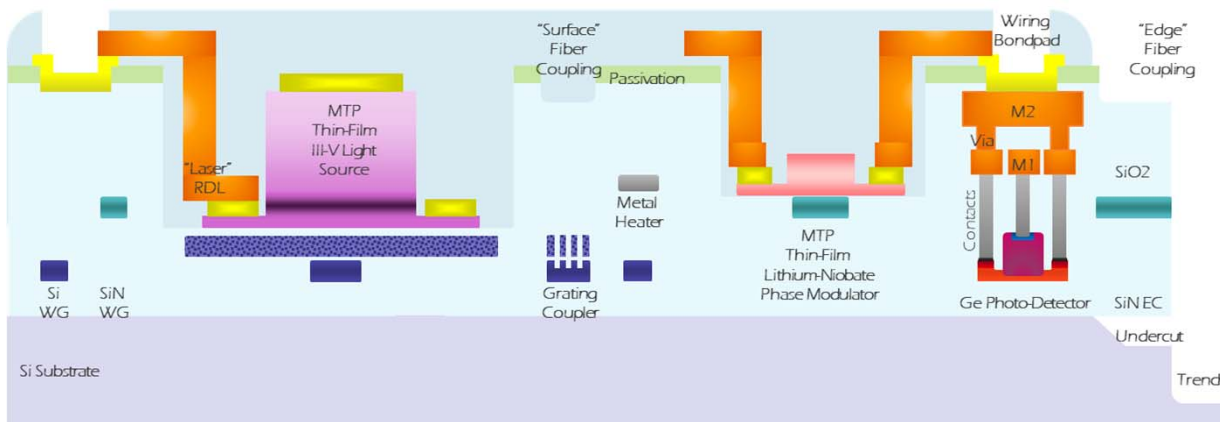


LASER/SOA INTEGRATION



Flip-chipped III-V light source

Butt coupling to SiPh waveguide

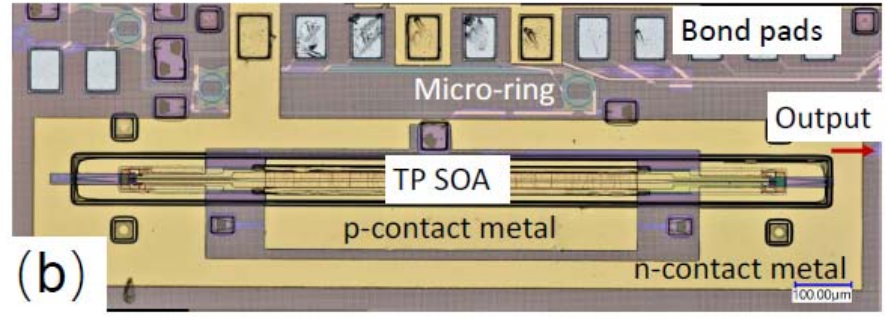
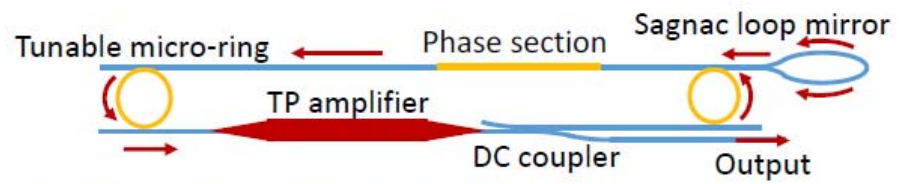
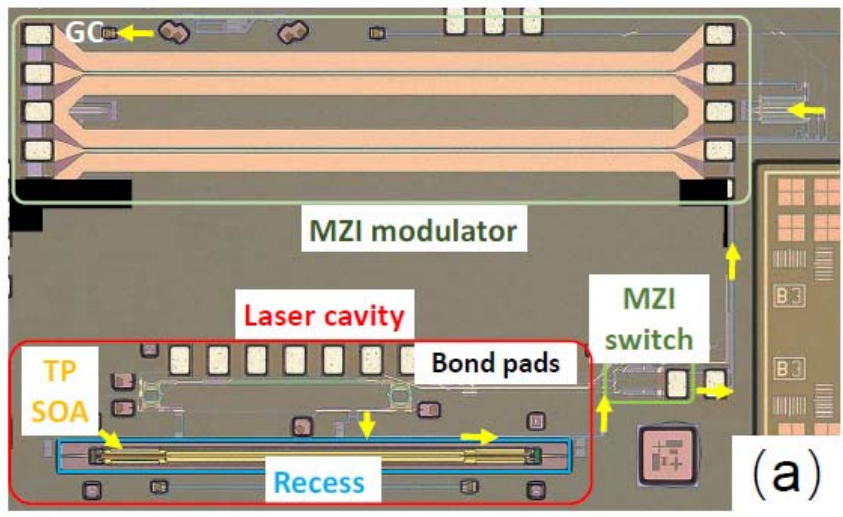
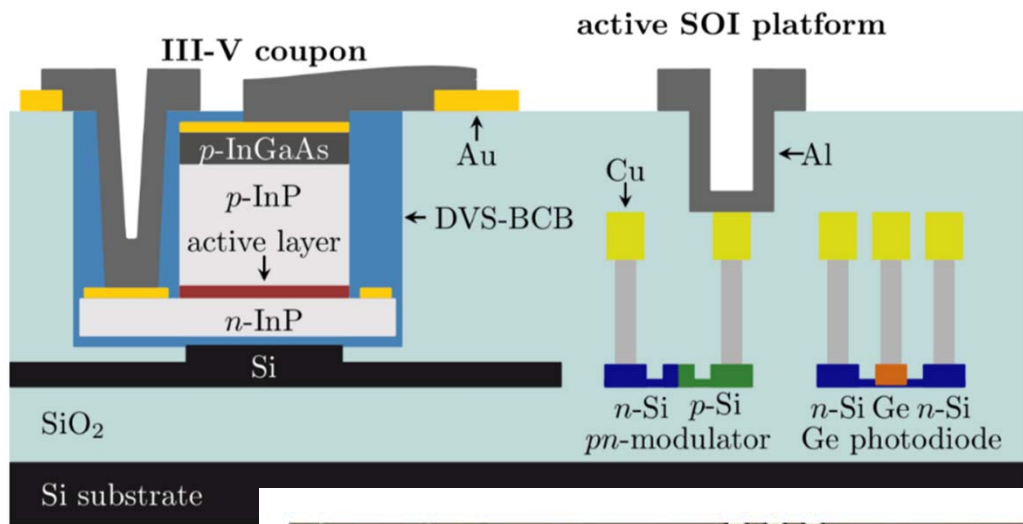


Micro-transfer printed thin-film III-V light source

Evanescent coupling to SiPh waveguide

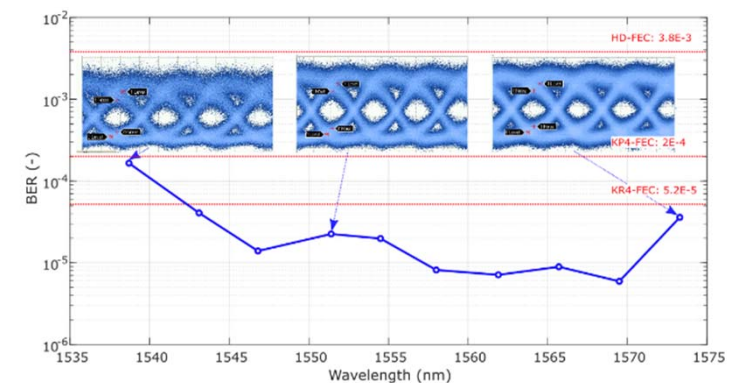
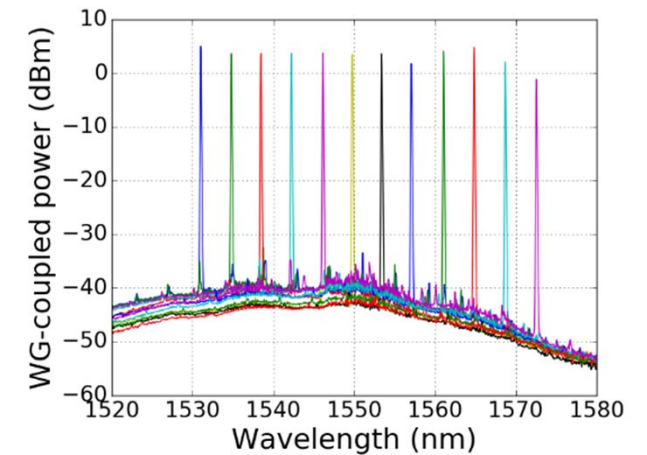
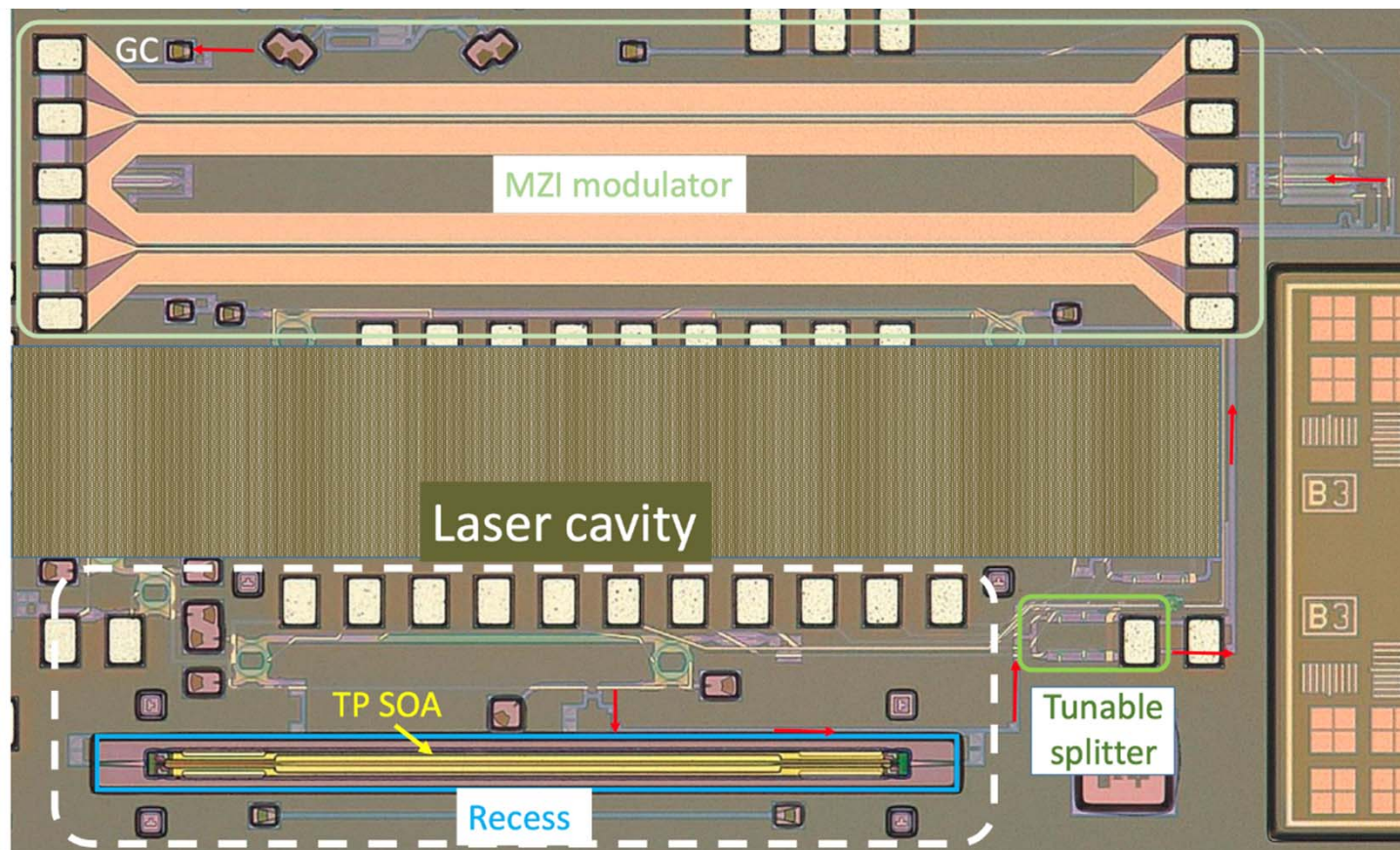
MICRO-TRANSFER-PRINTING (MTP) OF AN INP C-BAND SOA ON ISIPP

J. Zhang et al, OFC 2022,
[doi:10.1364/OFC.2022.Tu2D.2](https://doi.org/10.1364/OFC.2022.Tu2D.2)



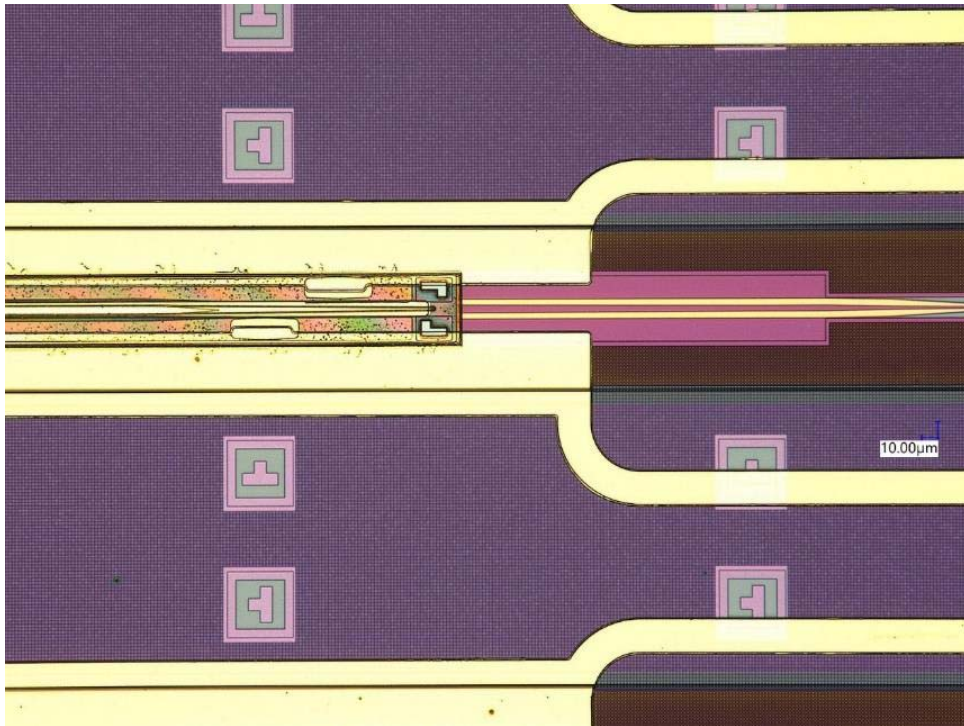
MICRO-TRANSFER-PRINTING (MTP) OF AN INP C-BAND SOA ON ISIPP

InP/Si hybrid laser cavity with Vernier wide tuning

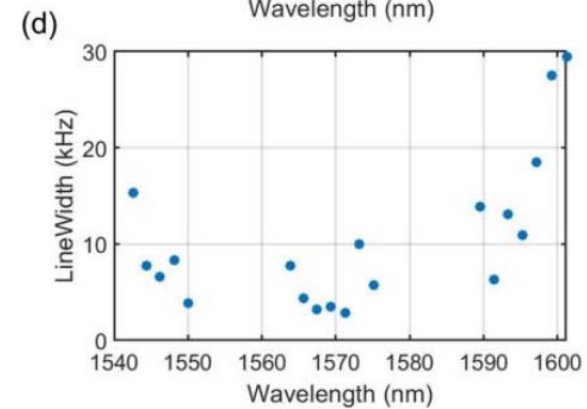
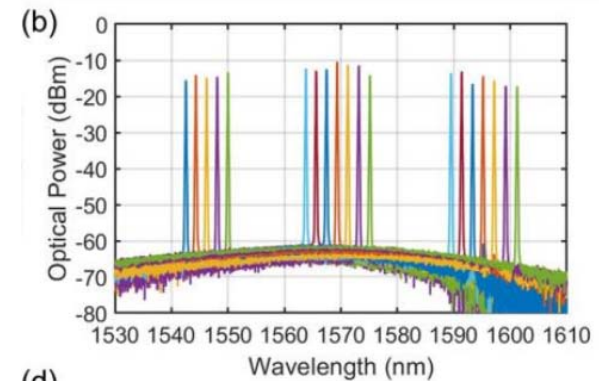


J. Zhang et al, OFC 2022,
[doi:10.1364/OFC.2022.Tu2D.2](https://doi.org/10.1364/OFC.2022.Tu2D.2) 14

MTP-ED NARROW LINEWIDTH TUNABLE LASERS (INP ON SiN PIC)



- Si-on-SiN chip: imec
- InP SOA coupon: III-V Lab
- Micro-transfer printing: imec-UGent



B. Pan et al. OFC 2023, [doi:10.1364/OFC.2023.Th3B.5](https://doi.org/10.1364/OFC.2023.Th3B.5)

MTP-ED O-BAND INAs/GAAs QDOT DFB LASERS

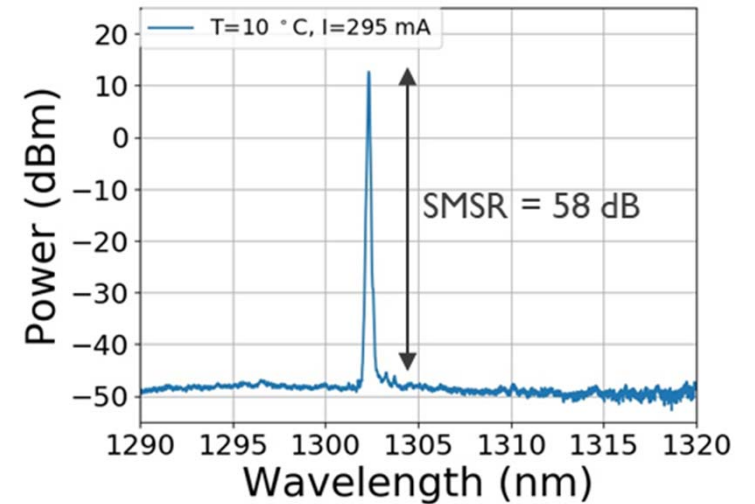
GaAs QD SOA coupon length: 2.16 mm

2nd order Bragg grating

DFB grating length: 1.4 mm

Maximum waveguide-coupled power: 20 mW@10°C

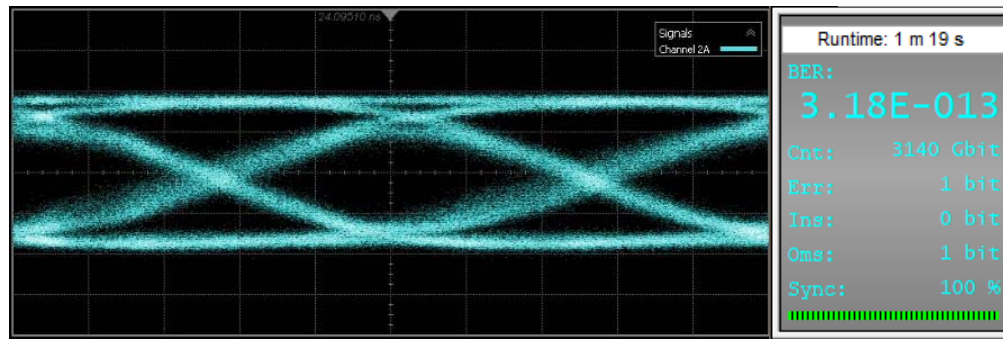
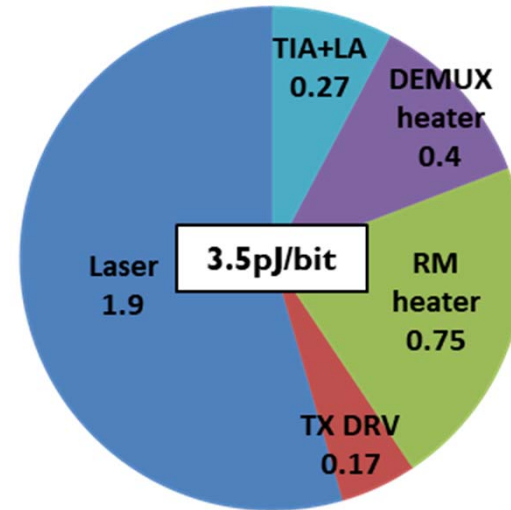
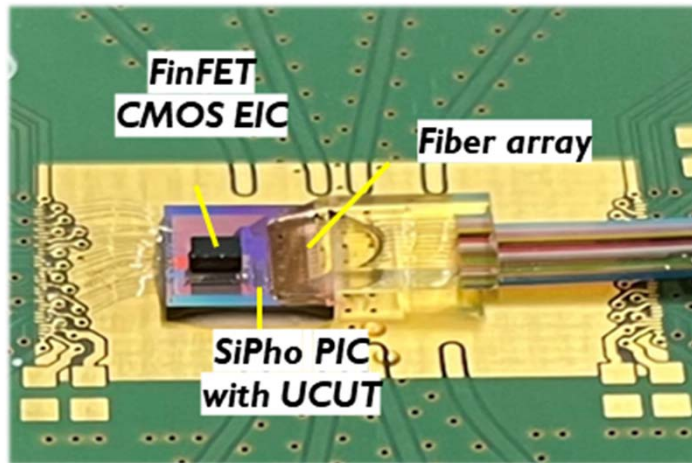
Side-mode-suppression-ratio: 60 dB



J. Zhang et al, ECIO 2023



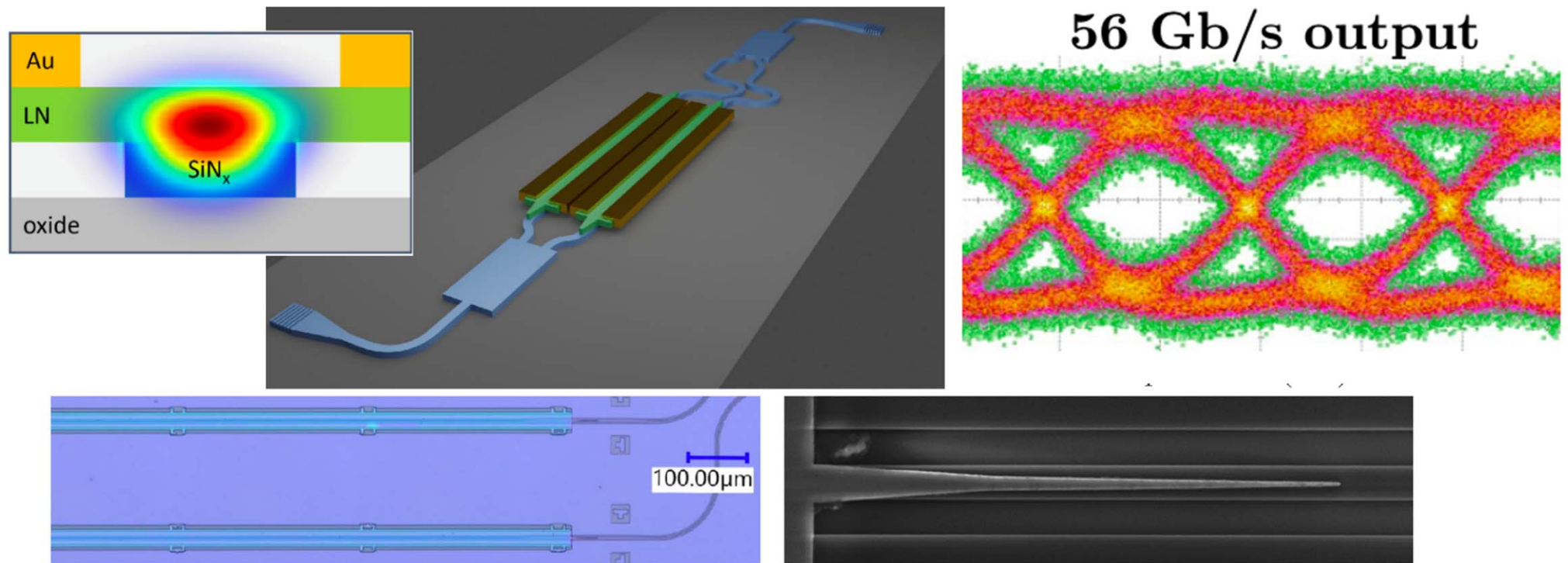
SOI RING RESONATOR MODULATOR FOR O-BAND WDM TRANSCEIVER



Y. Ban et al., OFC 2023
<https://opg.optica.org/viewmedia.cfm?r=1&uri=OFC-2023-W3D.5&seq=0>

Error-Free with ~ 3.5 pJ/bit Optical Energy

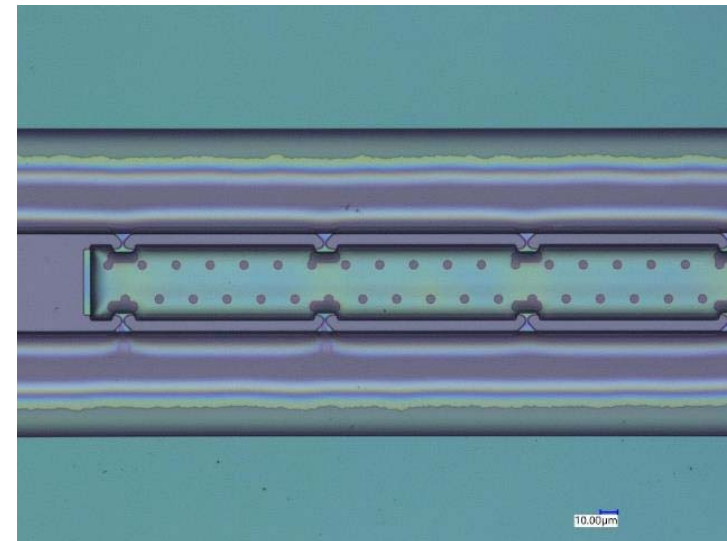
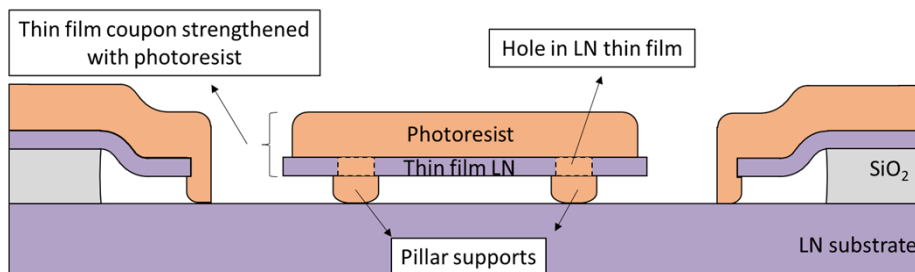
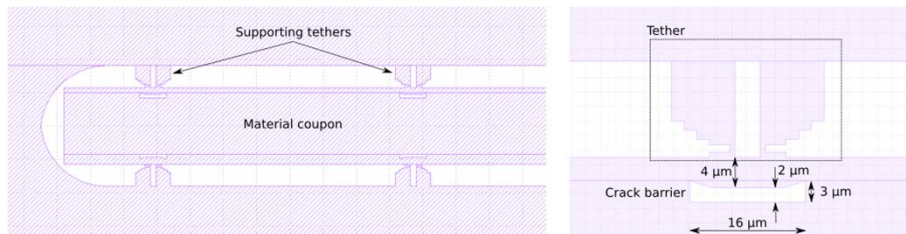
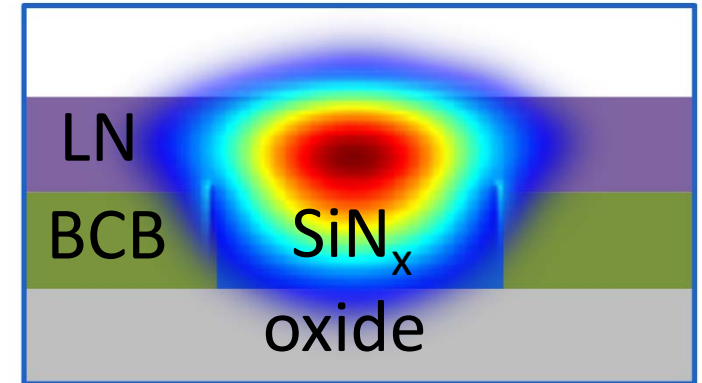
LiNbO_3 ON SiN MODULATOR BY MICRO-TRANSFER PRINTING



T. Van Ackere et al., CLEO 2023

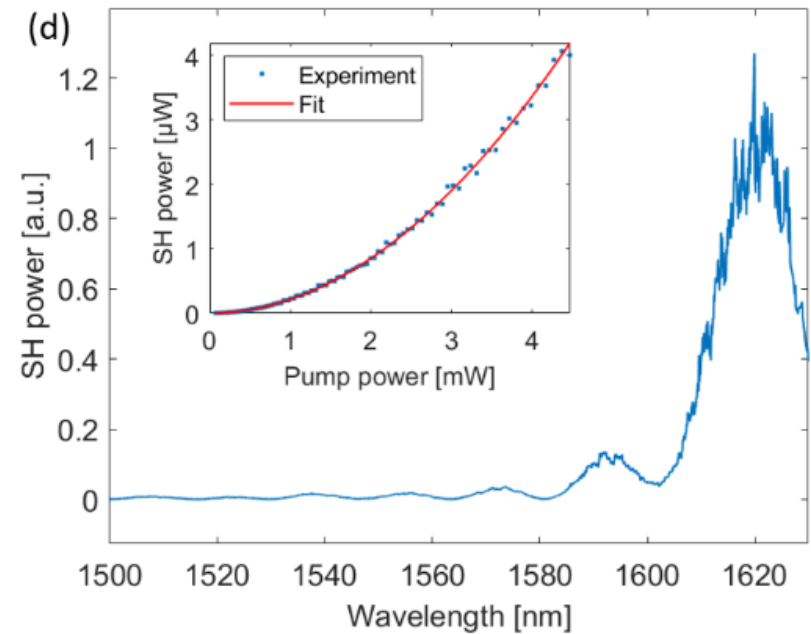
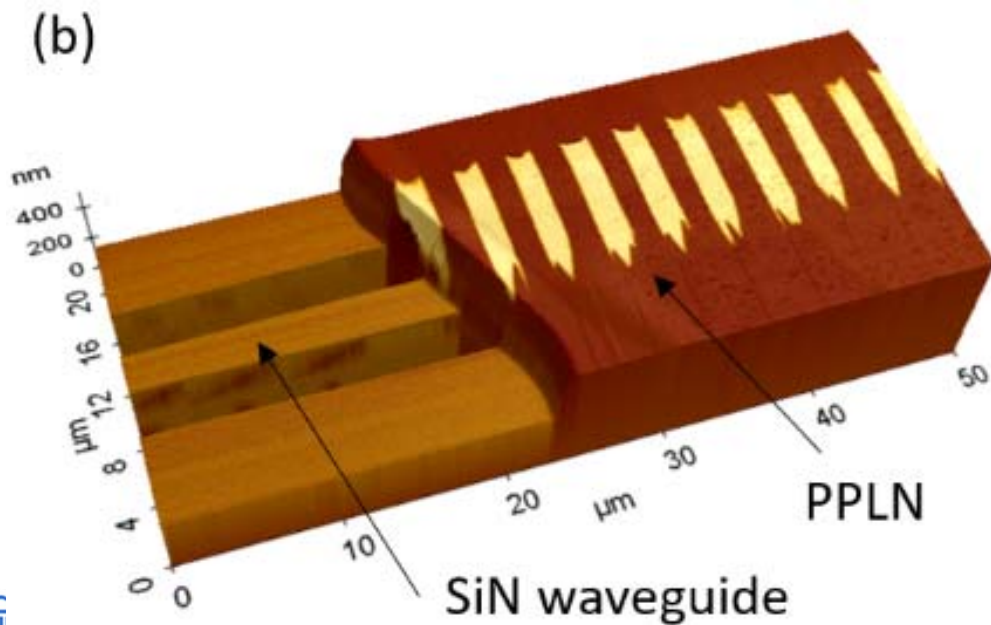
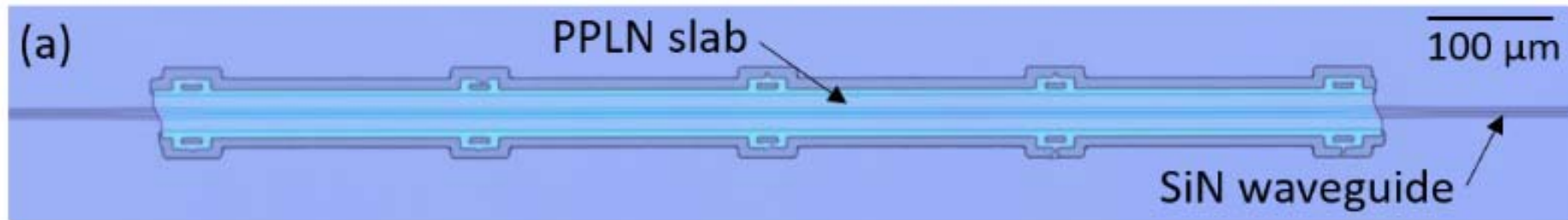
LiNbO₃ ON SiN MODULATOR BY MICRO-TRANSFER PRINTING (MTP)

- Transfer printing of LiNbO₃ thin films on Si/SiN
- Transfer from LNOI wafers
 - Introduction of crack barriers
 - Introduction of pillars avoiding collapse (because of liquid HF)



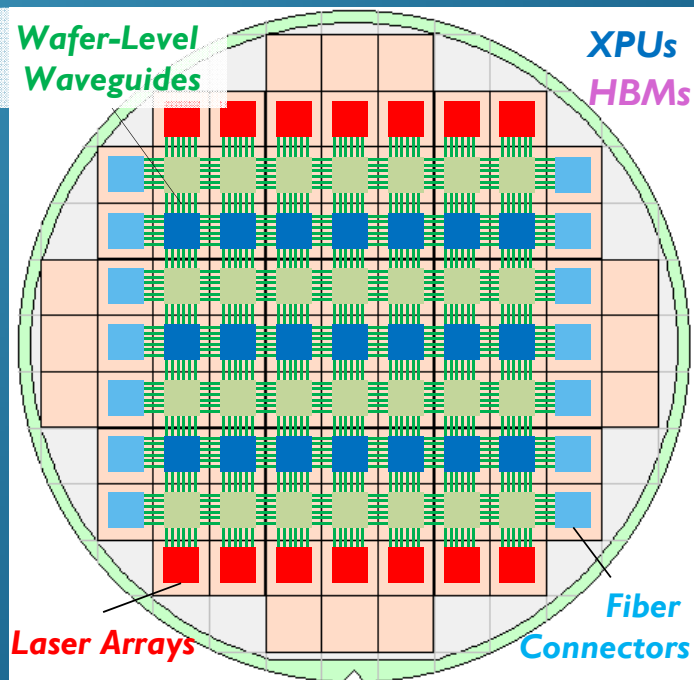
High-yield and reliable transfer printing of LN

SECOND HARMONIC GENERATION IN PERIODICALLY POLED LiNbO_3 ON SiN

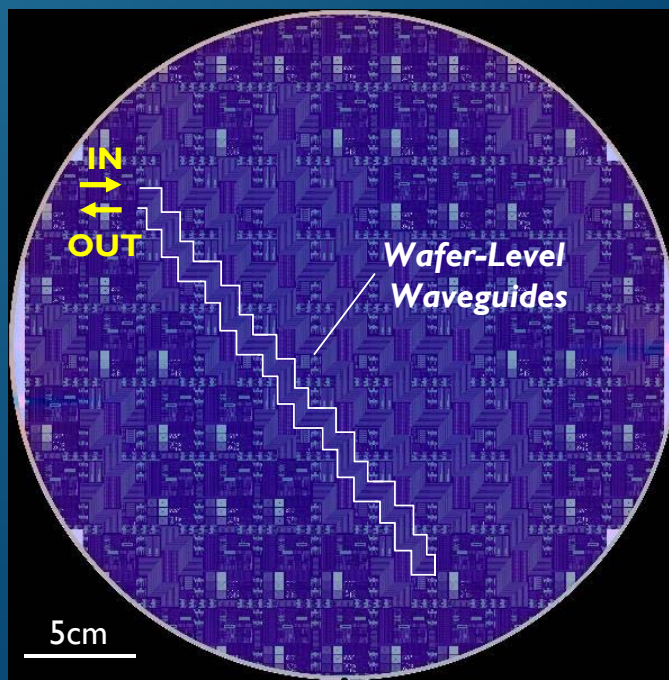


VISION: TOWARDS OPTICALLY INTERCONNECTED SYSTEMS-ON-WAFER

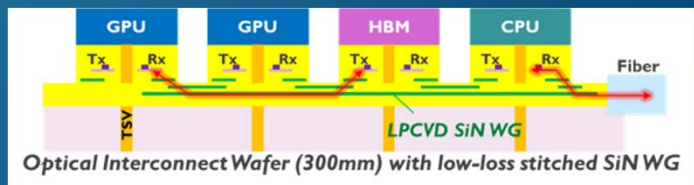
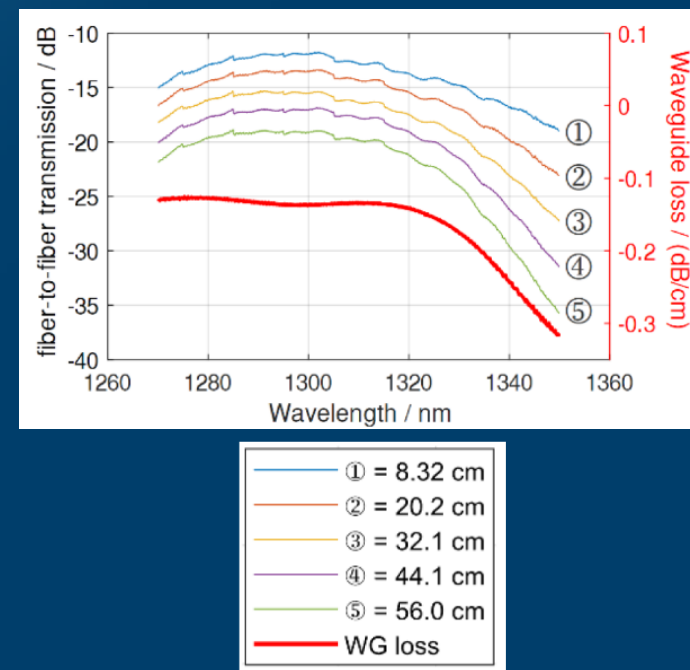
Optically Interconnected System-on-Wafer



First 300mm wafer-level reticle-stitched interconnect waveguides (imec)



Measured Wafer-level Loop-back SiN Waveguides

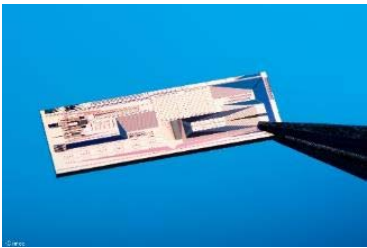


300-mm wafer-level waveguides up to 56cm long, with low all-in propagation loss (0.15dB/cm)

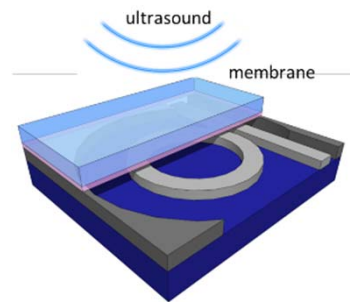
Xu et al., OFC 2024, M4A.3

MANY MORE RESEARCH CASES IN SENSING AND LIFE SCIENCE @ IMEC

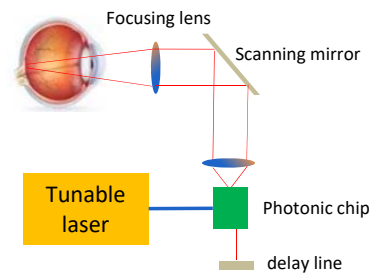
PICs for LIDAR



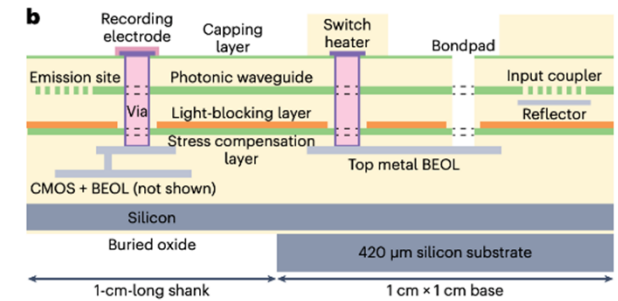
PICs for Ultrasound Transducers



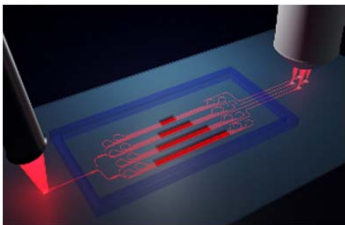
PICs for OCT



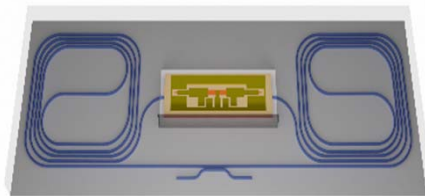
PICs for Optogenetics



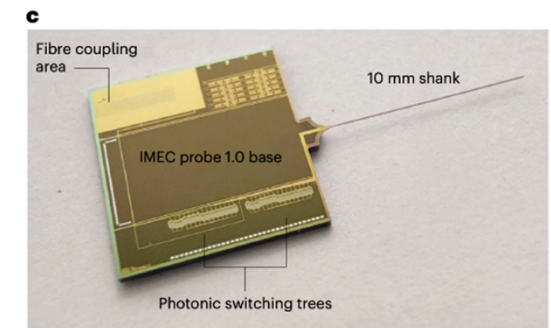
PICs for Biosensing



PICs for Comb Sources



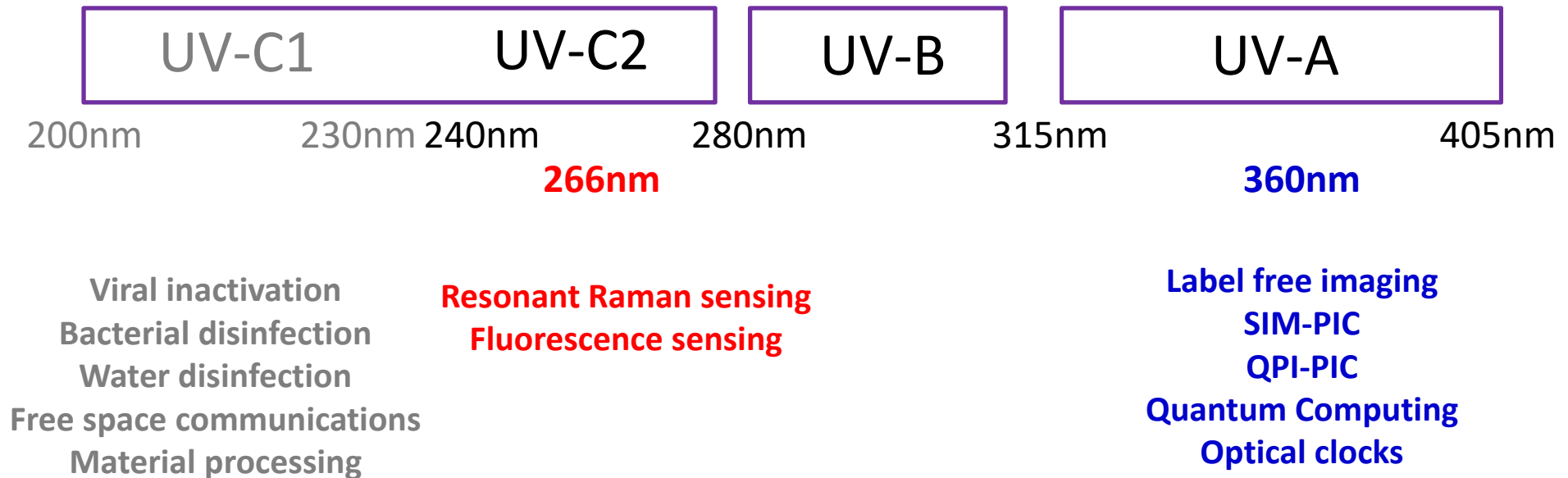
PICs for Cardiovascular Monitoring



... and many more

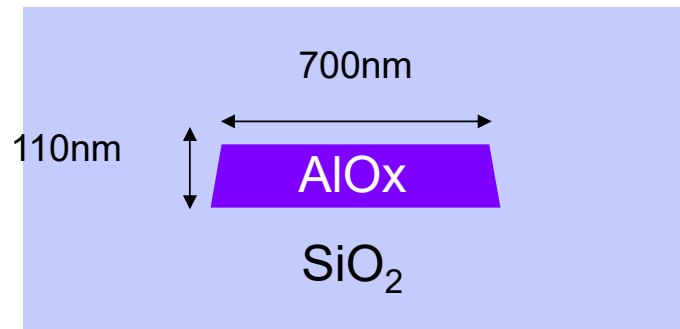
THE NEED FOR UV-PICs

All bio-molecules/bio-organisms provide fluorescence under UV illumination

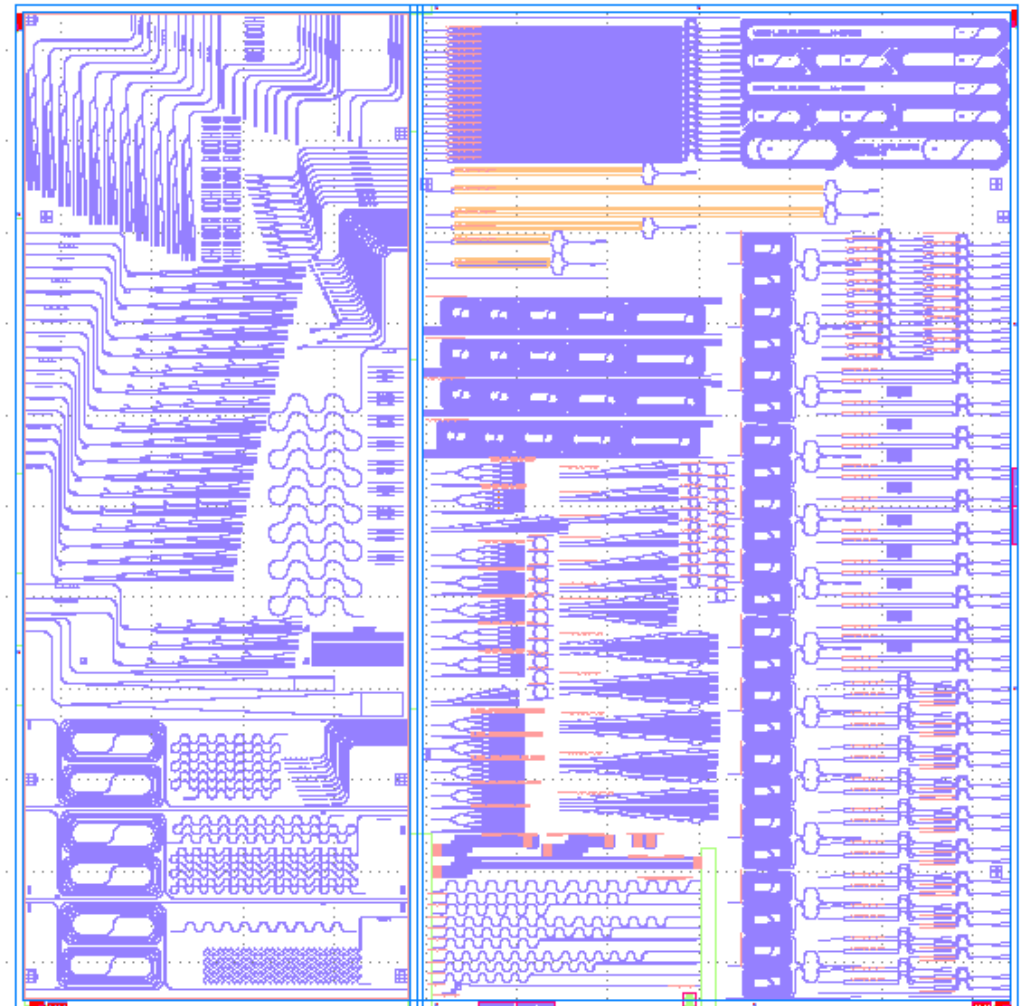


TOWARDS A UV-A PLATFORM AT IMEC

AIOx by ALD in a CMOS fab

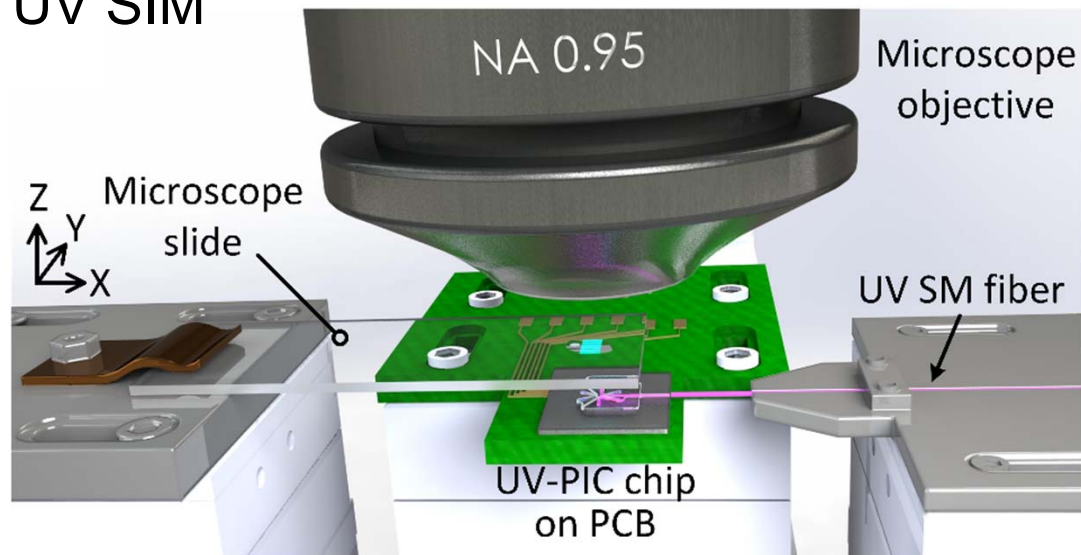


<1dB/cm at $\lambda_p=360\text{nm}$



UV-A PICs (360nm) FOR STRUCTURED ILLUMINATION MICROSCOPY (SIM)

UV SIM



nature communications



Article

<https://doi.org/10.1038/s41467-022-31989-8>

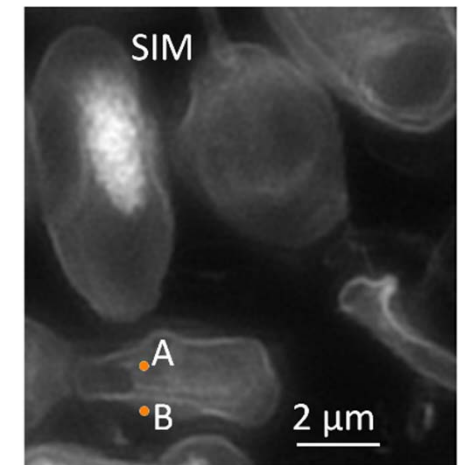
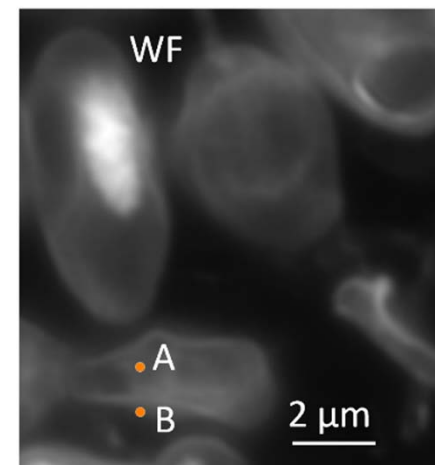
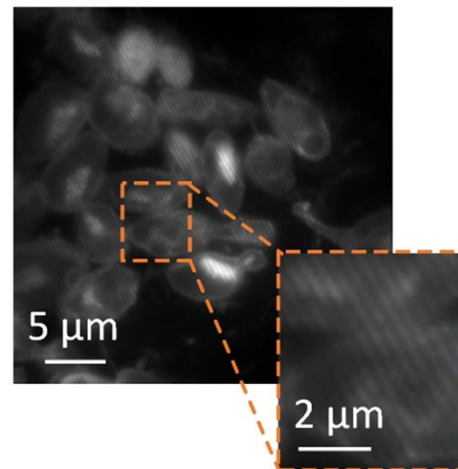
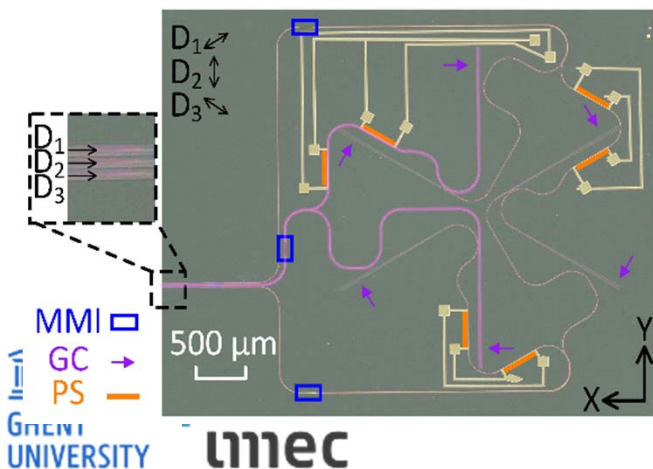
UV photonic integrated circuits for far-field structured illumination autofluorescence microscopy

Received: 7 February 2022

Accepted: 13 July 2022

Published online: 27 July 2022

Chupao Lin^{1,2}, Juan Santo Domingo Peñaranda³, Jolien Dendooven³,
Christophe Detavernier³, David Schaubroeck⁴, Nico Boon⁵,
Roel Baets^{1,2} & Nicolas Le Thomas^{1,2}



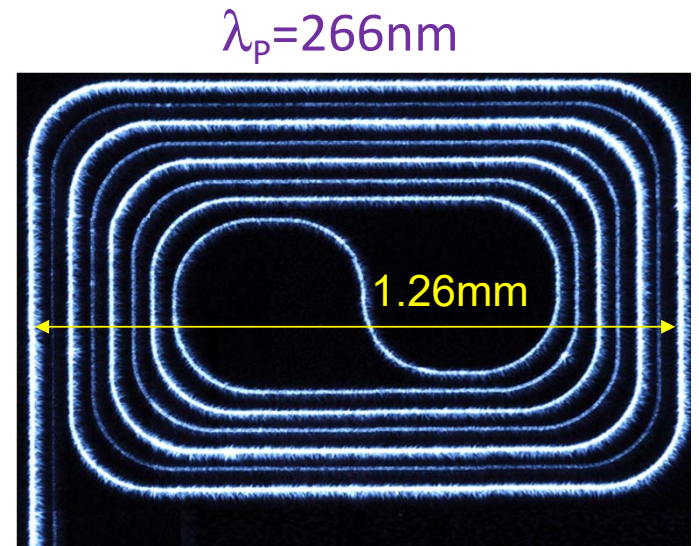
UVC LOW LOSS IN SiO₂ SPIRAL WGS

$$E_g = 8.9\text{eV} \rightarrow \lambda_{\text{abs}} \sim 140\text{nm}$$

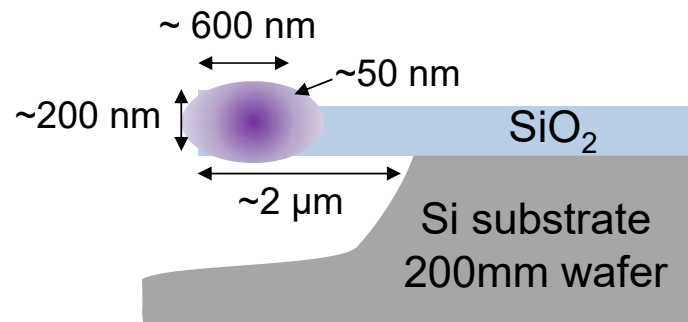
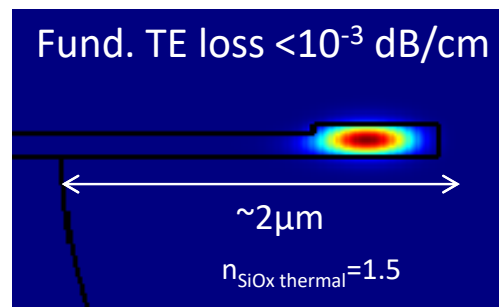
Loss at $\lambda_p = 266\text{nm}$ with air cladding:

- 2 dB/cm for MM WG
- 5 dB/cm for SM WG

Water cladding: 4 dB/cm at $\lambda_p = 266\text{nm}$



In \updownarrow Out



IMEC @ ECOC2024

W1F.1 - Joint **Optical Wireless** Communication and **LiDAR** Sensing using A Highly Integrated Optical Phased Array - **Xuebing Zhang**

W1F.3 - Experimental Evaluation of Passive 2D Optical Beam Scanners for FMCW LiDAR Applications - **Mennatallah Kandil**

W1G.5 - High-performance Silicon Optical Phase Shifter Targeting Large-scale **Programmable Photonic Circuits** - **Huaqing Qiu**

W4G.4 - All-Silicon Hybrid-integrated **128-GBd** Analog Demultiplexing **Optical Receiver** - **Jakob Declercq**

Th2G.3 - A 40 Gb/s NRZ **O-band Silicon Disk Modulator** with **5.4 THz FSR** and 60 GHz/mW Heater Efficiency - **Minkyu Kim**

+ imec-co-authored papers

Be welcome at booth **A101**



Trends in silicon photonics research

illustrated by imec's research portfolio

➔ **Transitioning from research to industrial supply chain**
the challenges

Addressing the challenges

towards silicon photonics 4.0

THE DIVERSITY OF NEW SOLUTIONS (BEYOND SOI AND SiN PICs)

NEW PLATFORMS ON SILICON WAFERS

Thin Film Lithium Niobate (TFLN) PICs

Al_2O_3 PICs

AlN PICs

Diamond PICs

Germanium-on-Silicon PICs

ADDING NEW MATERIALS TO EXISTING PLATFORMS (SOI, SiN)

SiN on SOI

III-V on SOI/SiN (InP, GaAs,...)

Electro-optic or piezo-electric materials on SOI/SiN (LiNbO_3 , BTO, PZT, polymers, AlN...)

2D-materials (graphene, WSe_2 , WS_2 , MoS_2 ...) on SOI/SiN

Diamond on SOI/SiN

Colloidal quantum dots on SOI/SiN

Liquid crystals on SOI/SiN

Magneto-optic materials on SOI/SiN

DIVERSITY IN INTEGRATION TECHNOLOGY FOR NEW MATERIALS

Processes for monolithic integration

- epitaxy and hetero-epitaxy
- chemical vapour deposition
- atomic layer deposition
- physical vapour deposition
- spin coating (polymers)
- sol-gel process
- ...

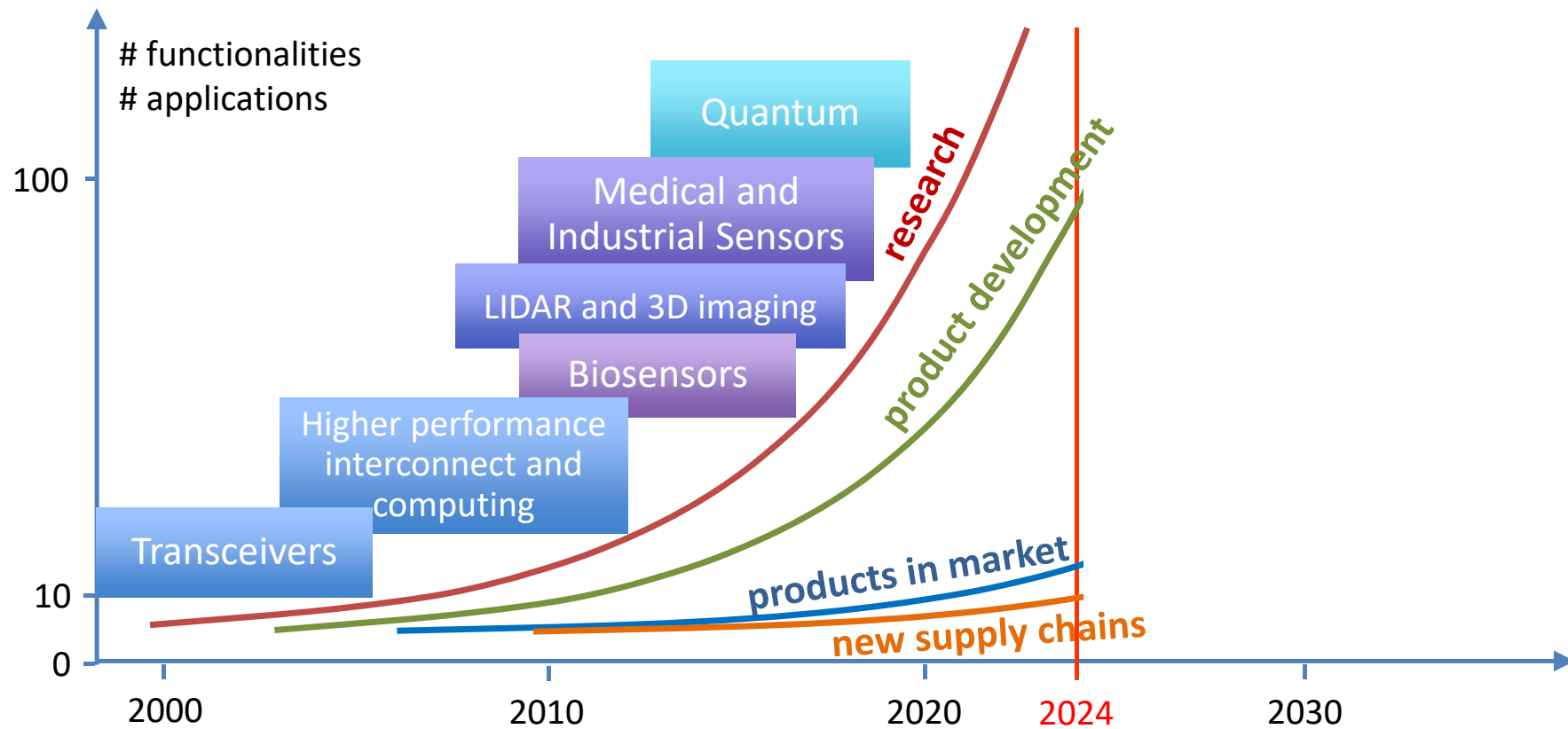
Processes for heterogeneous integration

- wafer-to-wafer and die-to-wafer bonding
- micro-transfer printing
- flip chip solder bump (wafer level)
- wafer reconstitution, fanout wafer-level packaging, etc.
- micro-optic bench attach
- ...

Processes for hybrid integration

- flip-chip solder bump processes
- chip-to-chip butt-coupling attach
- photonic wire bond
- fiber (array) attach
- co-packaged optics (assembly substrates, motherboards)
- photonic wire bond
- ...

DIVERSITY OF FUNCTIONALITIES/APPLICATIONS ENABLED BY SiPH



NON-TRANSCIEVER PIC-BASED PRODUCTS IN THE MARKET

Genalyte: biosensors for diagnostics

Quix quantum processor: research tool

Sentea, PhotonFirst: Fiber Bragg Grating Interrogators

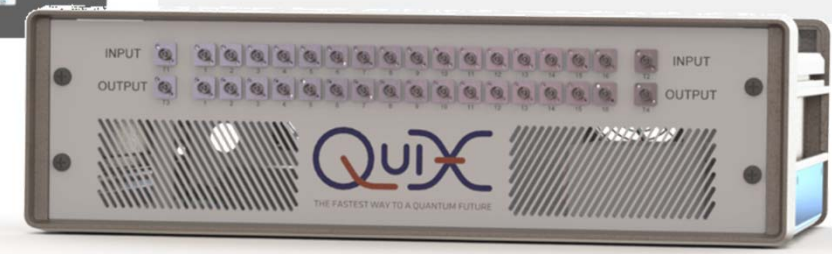
Anello: gyroscope evaluation kit

iPronics: programmable photonic processor

ThorLabs: E-O converter

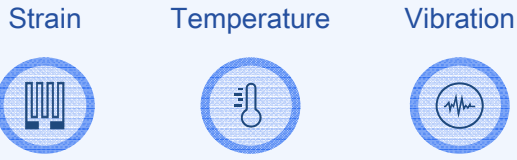


- Velocity Accuracy <math>< 0.01 \text{ m/s rms}</math>
- Digital Interface Ethernet, CAN, USB/Serial
- 0.02° rms
- Angle Random Walk <math>< 0.05^\circ/\sqrt{\text{hr}}</math>
- Bias Instability <math>< 0.5^\circ/\text{hr}</math>



SENTEA'S PIC-BASED FIBER BRAGG GRATING INTERROGATOR

**Multi-parameter
& multi-point for more data**



MULTIPOINT FBG SENSING FIBER

- 
 Extreme temperatures
- 
 Electromagnetic interference
- 
 Lighting strikes
- 
 Corrosive environment
- 
 Safe in explosive environments

**Outperforming other sensors
in harsh environments**

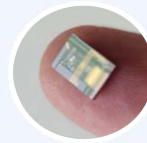
Dashboard



Control system



SENTEA INTERROGATOR



**Cost-effective
through
Silicon Photonics**

Pitch control & blade
damage detection



Gearbox & bearing
monitoring & lifetime



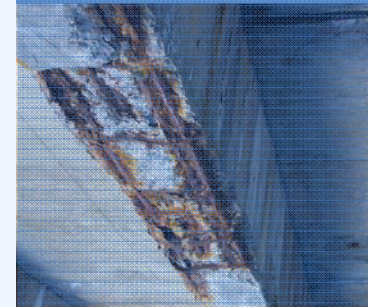
Ice detection



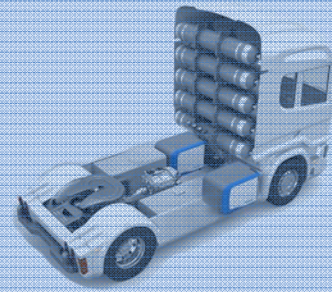
Tower & foundation
structural health
monitoring



 **Wind turbine monitoring**

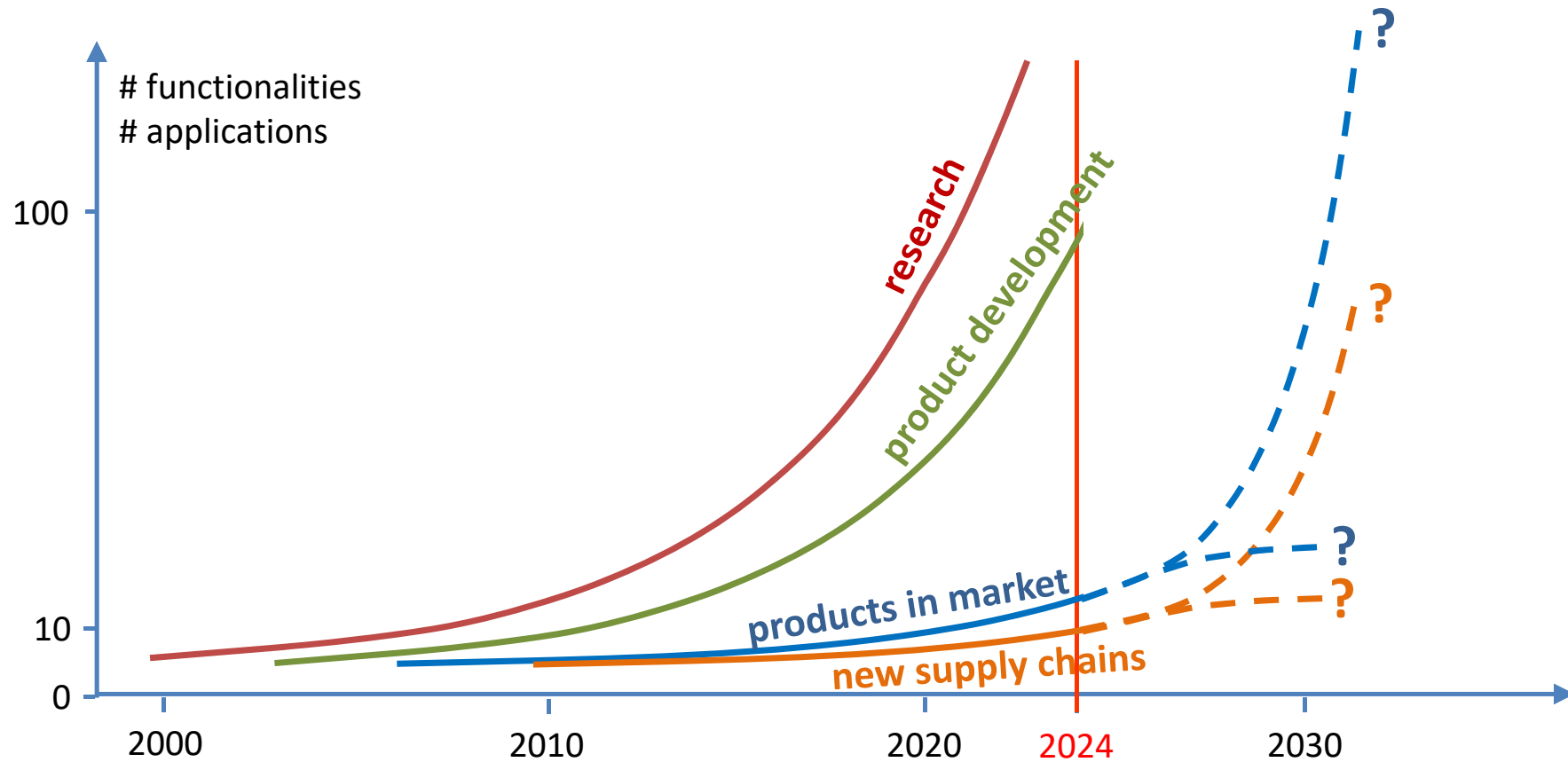


 **Structural integrity
Monitoring**



 **EV battery, hydrogen
vessels and drivetrain**

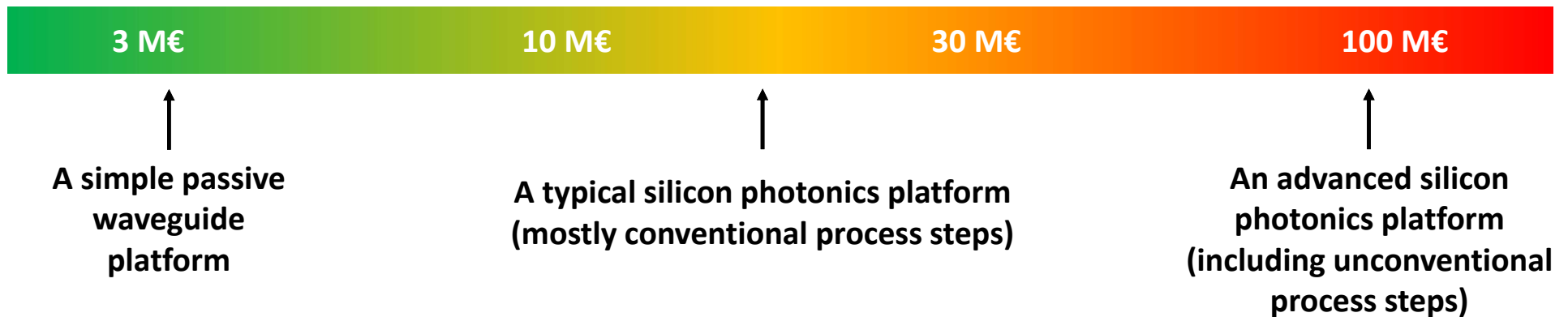
DIVERSITY OF FUNCTIONALITIES/APPLICATIONS ENABLED BY SiPH



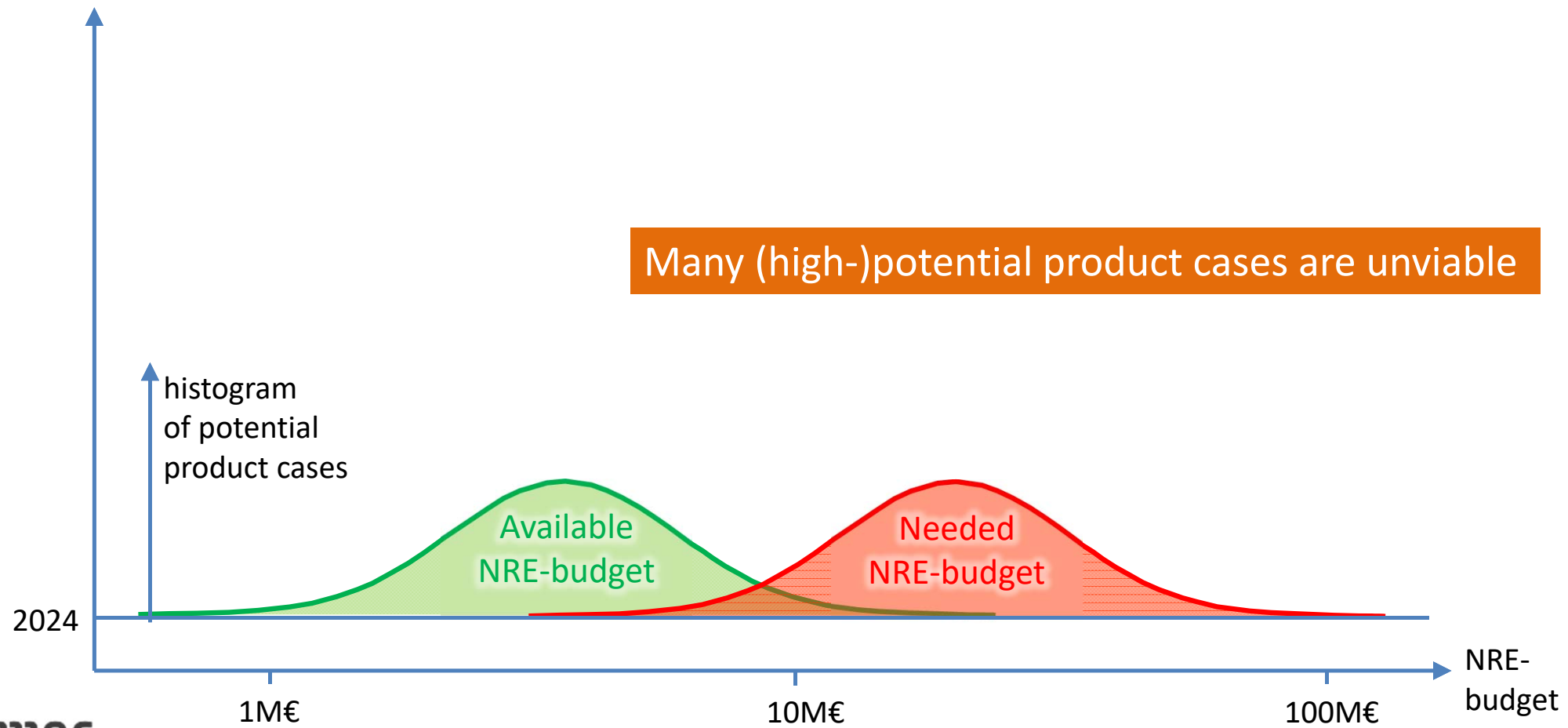
KEY BARRIER FOR AN SME DEVELOPING A SIPH-BASED PRODUCT

High NRE-cost + slow prototyping

- expensive prototyping of wafers made on an existing process flow
- first-time-right is still hard \Rightarrow multiple prototyping cycles
- long time-to-market \Rightarrow high personnel cost
- costly development of new process flow (if needed)



THE NRE-GAP

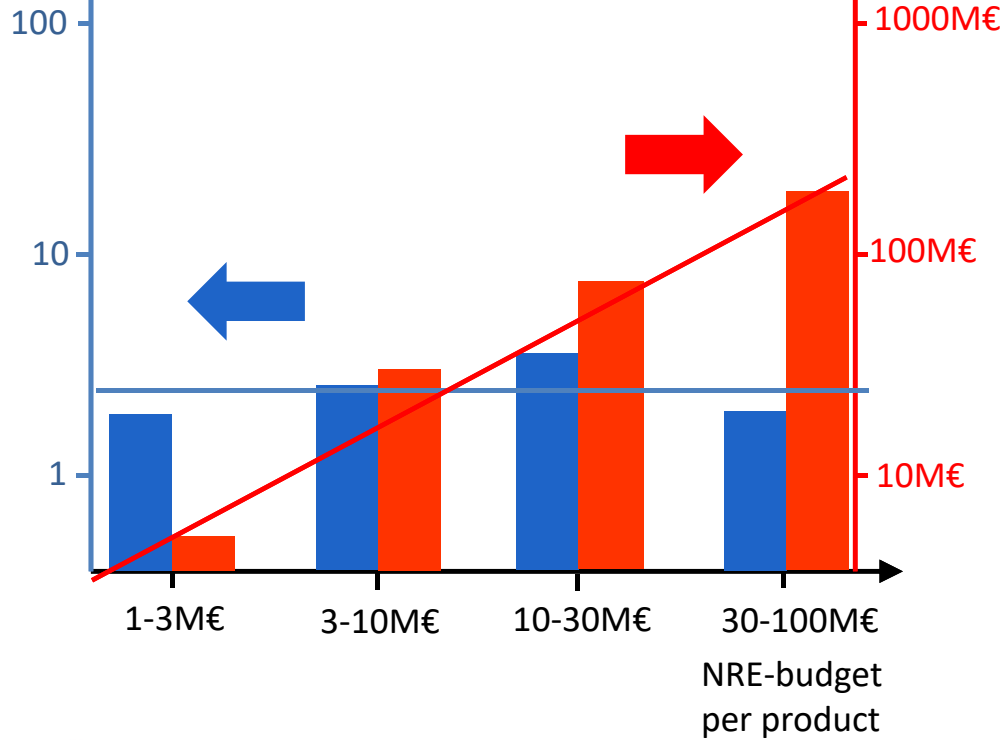


THE NRE-BIAS

Number of new SiPh products entering market (annually)

2024

Aggregate NRE (annually)



Most process flow development focuses on high NRE-cases

Low NRE-cases are 'left in the cold'

Trends in silicon photonics research

illustrated by imec's research portfolio

Transitioning from research to industrial supply chain

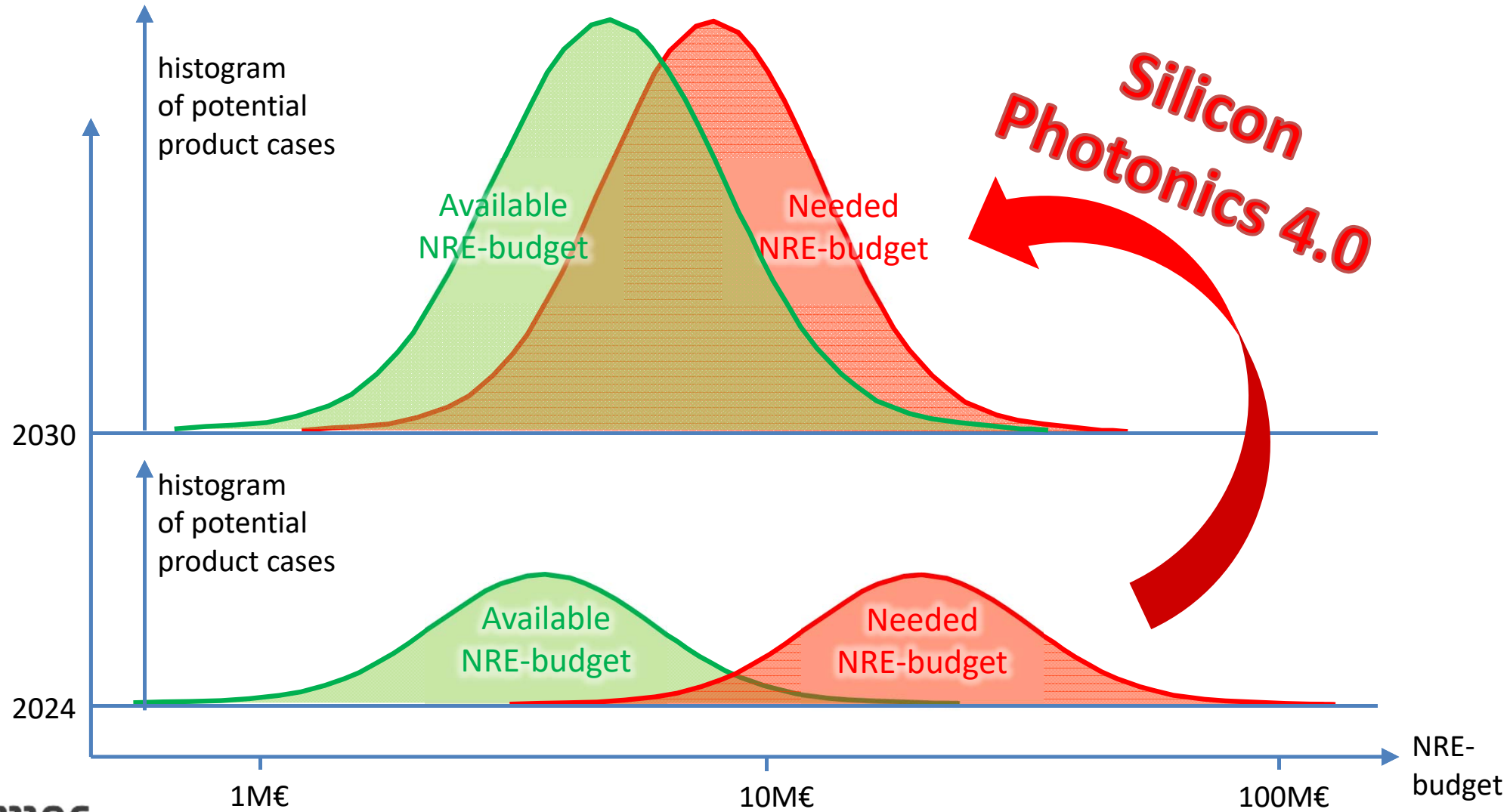
the challenges

➔ Addressing the challenges

towards silicon photonics 4.0

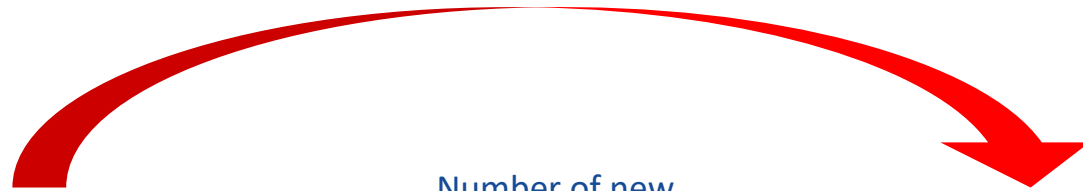
(my personal vision)

THE NRE-GAP



THE NRE-BIAS

Silicon Photonics 4.0



Number of new SiPh products entering market (annually)

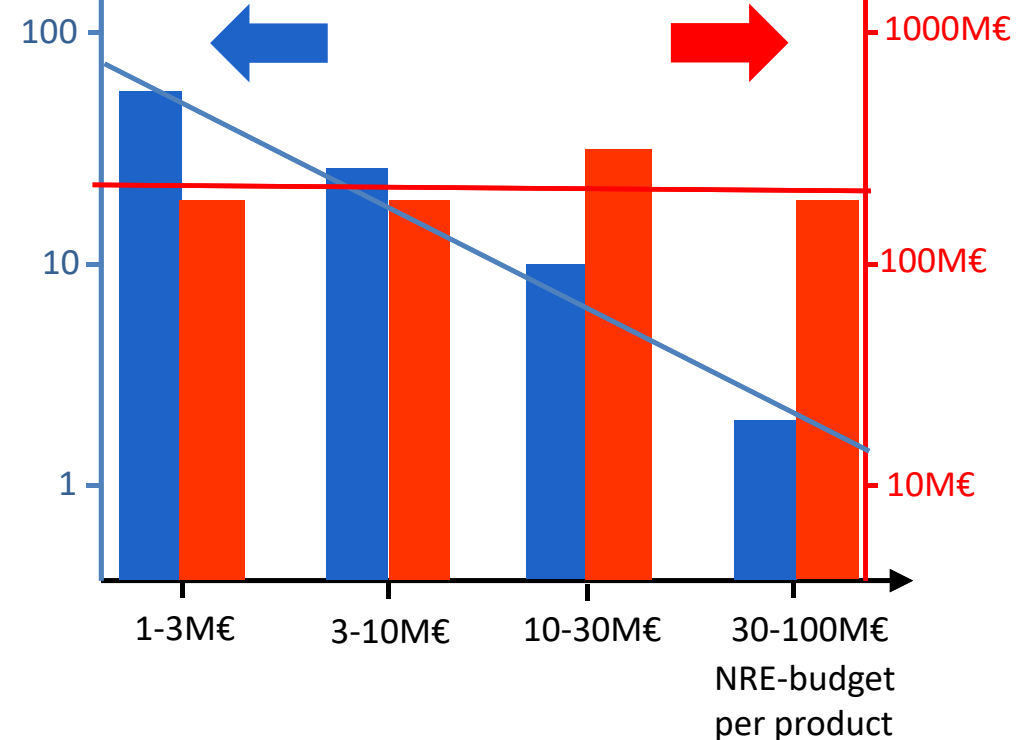
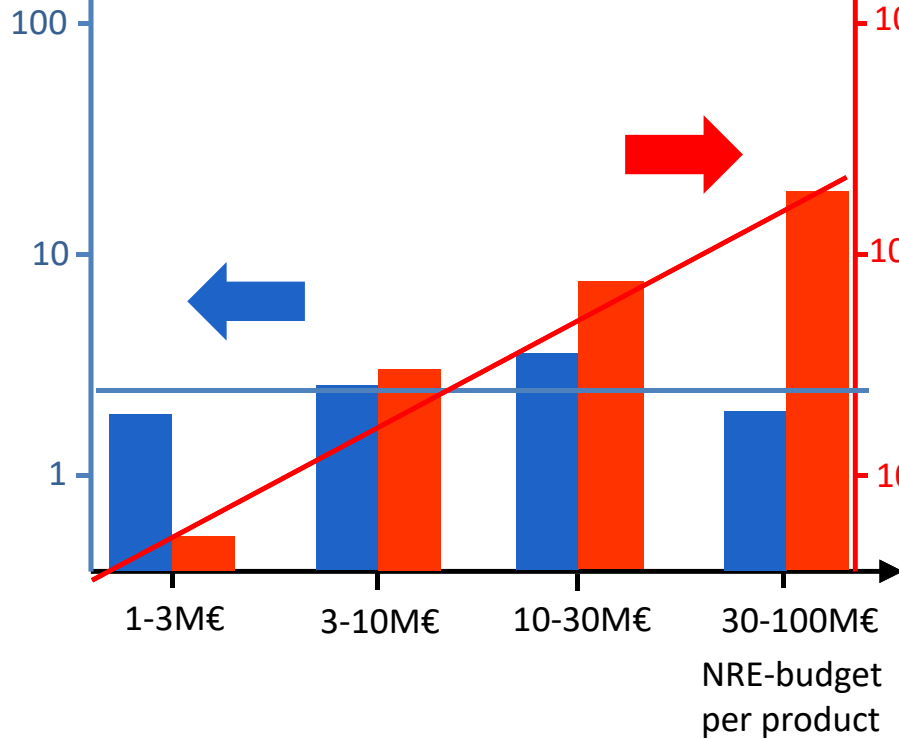
2024

Number of new SiPh products entering market (annually)

2030

Aggregate NRE (annually)

Aggregate NRE (annually)



INDUSTRY 4.0

Smart manufacturing

Innovation-based and science-based

Flexibility and agility

Decentralized model of operations

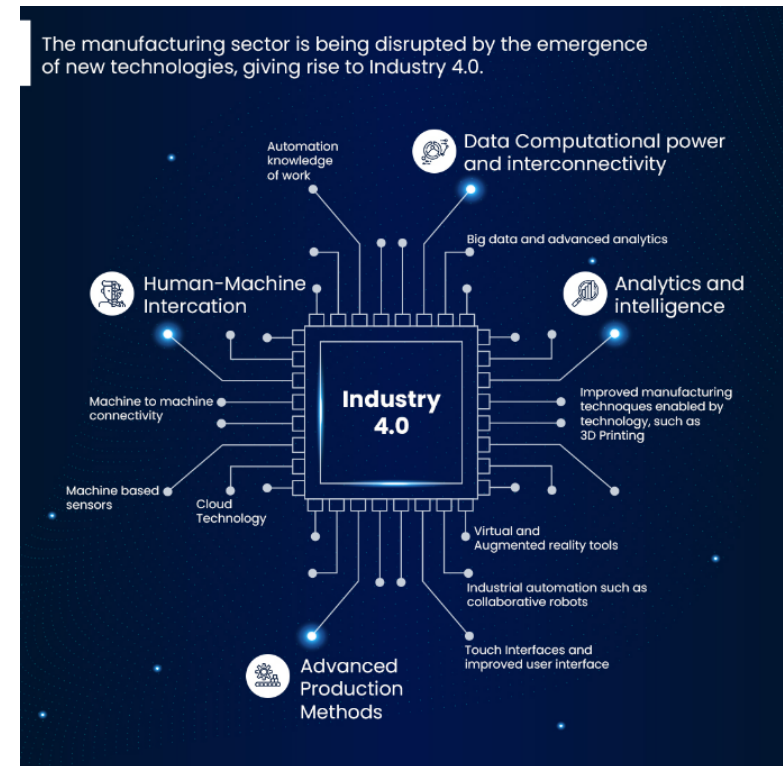
Manufacturing as a Service

Data-driven automation, sensing and IoT,
machine learning and AI

Additive manufacturing

Optimisation for sustainability

Upskilling and reskilling of personnel



Understanding Semiconductor Industry 4.0

<https://www.acldigital.com/blogs/industry-40-future-advanced-semiconductor-manufacturing>

TOWARDS SILICON PHOTONICS 4.0

Break down supply chain barriers, especially for innovative start-ups and scale-ups, in spite of diversifying technologies, applications and markets

A mix-and-match **smart manufacturing approach** to design and build innovative PIC-products, with:

- a decentralized open access foundry and supply chain model
- additive chiplet-based backend manufacturing approaches
- technology service providers that offer robust technology modules
 - **standardized modules that can be reused for multiple applications**
 - data driven, thorough, open-access module-PDKs
 - elaborate specification of “interfaces” between modules
 - parametrized models, for designers to use
 - seamless interfacing to non-photonic modules (electronic, mechanical, chemical, fluidic...)
 - process control monitor circuits (PCMs) to assess compliance of individual modules with specs
- development of multi-stakeholder PDKs for complete process flows
- programmable PICs for rapid prototyping

TOWARDS SILICON PHOTONICS 4.0

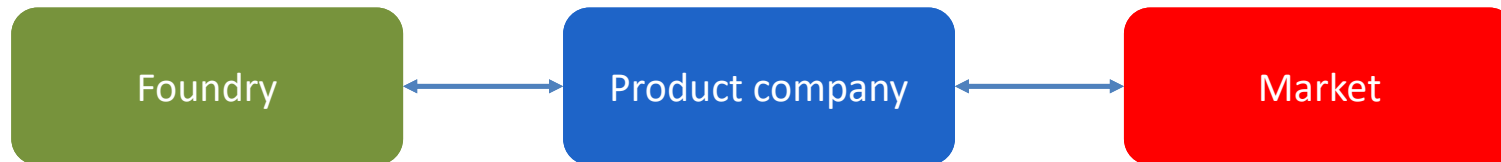
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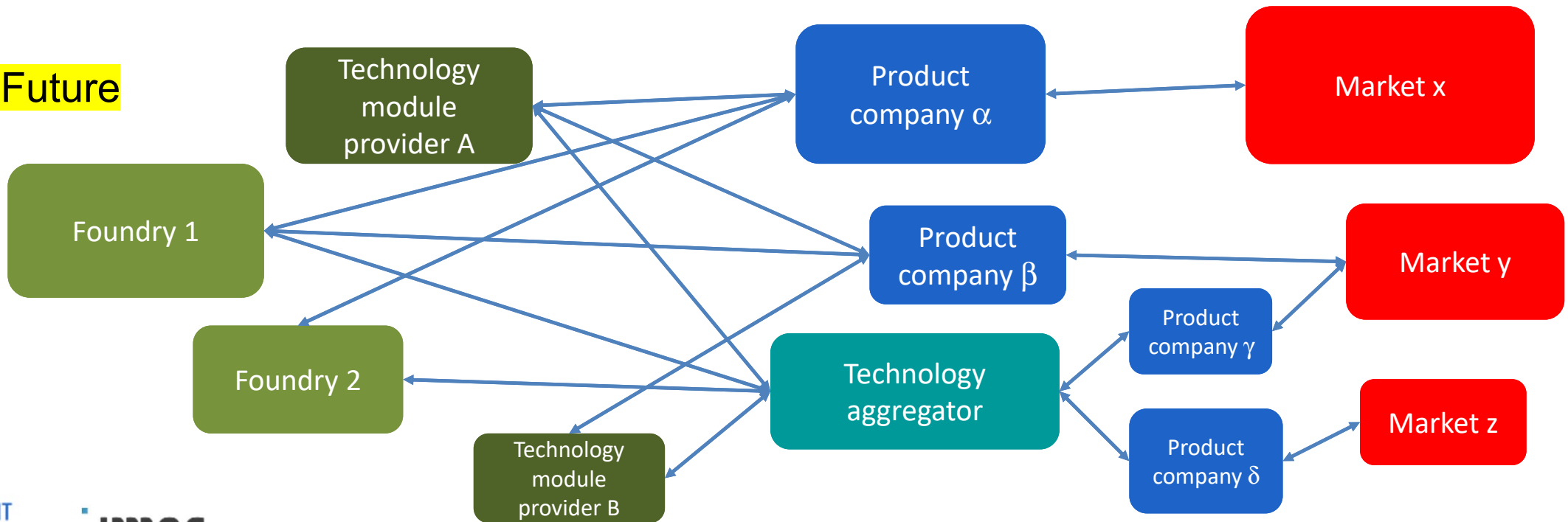
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TOWARDS SILICON PHOTONICS 4.0

Past



Future



BUILDING A EUROPEAN SILICON PHOTONICS VALUE CHAIN



X-FAB Silicon Foundries SE | Press Release March 27, 2024



Press Release

photonixFAB Consortium now open for first prototyping

New customer engagement portal enables early technology access

Tessenderlo, Belgium – March 27, 2024

Pushing ahead with the European Union (EU) funded [photonixFAB](#) consortium partners have taken the first step on the path to building a silicon photonics value chain by providing early access to R&D and manufacturing through technology partners.



Press Information

Lausanne, Switzerland – 20th September, 2024

World's first integrated value chain platform initiative for heterogeneous integration in photonics

Three leaders in photonic integrated circuits announce collaboration to offer a seamless path for heterogeneous integration with micro-Transfer Printing (MTP).

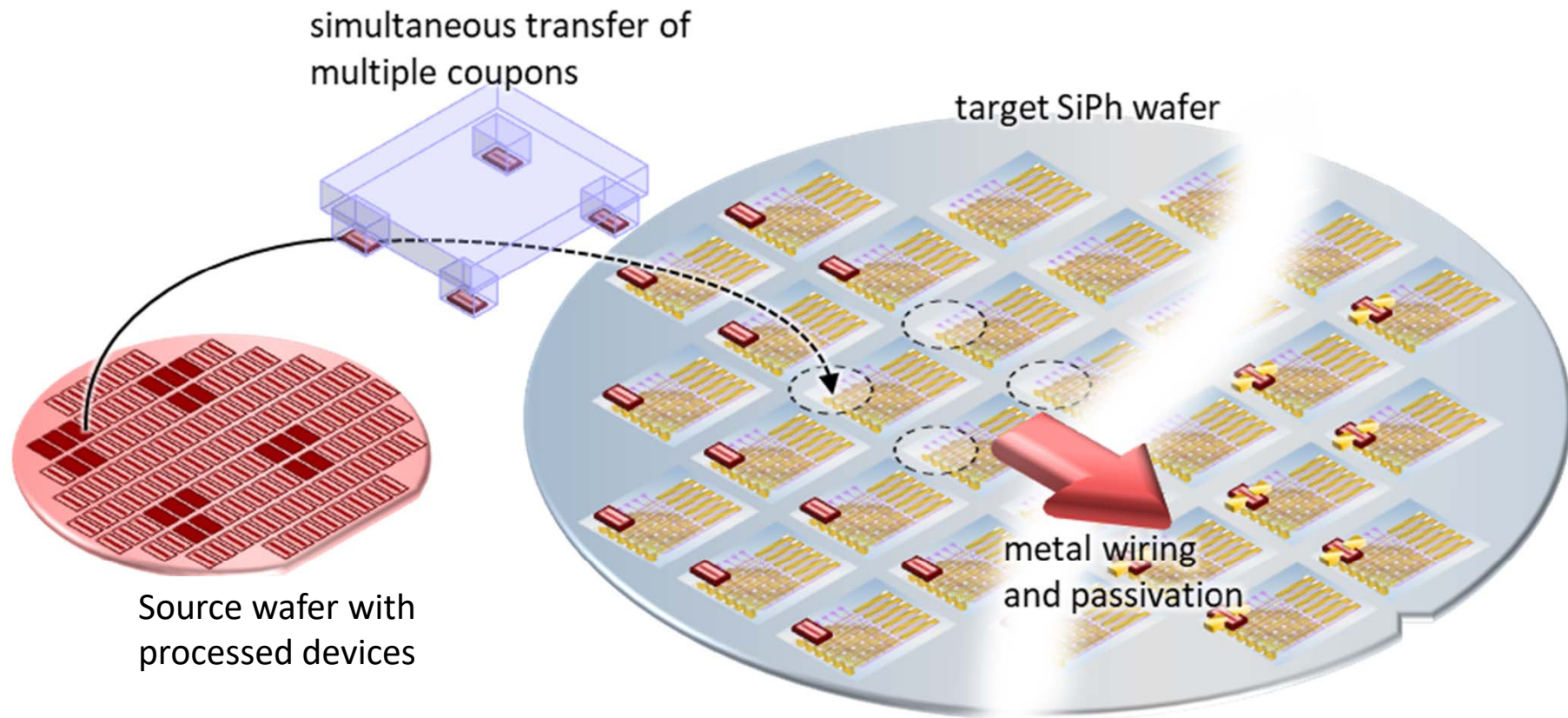
Lausanne, Cork, Erfurt, September 20th, 2024 – In a bold move to revolutionise photonic integrated circuits (PICs), three of the industry's foremost leaders, X-Celeprint, Ligentec, and X-FAB, are aligning their efforts to simplify and enhance heterogeneous integration through Micro Transfer Printing (MTP). This collaboration is set to close existing gaps in the value chain and offer a seamless journey from R&D to mass production.

Press release

Imec demonstrates the co-integration of high-quality SiN waveguide technology with its silicon photonics platform

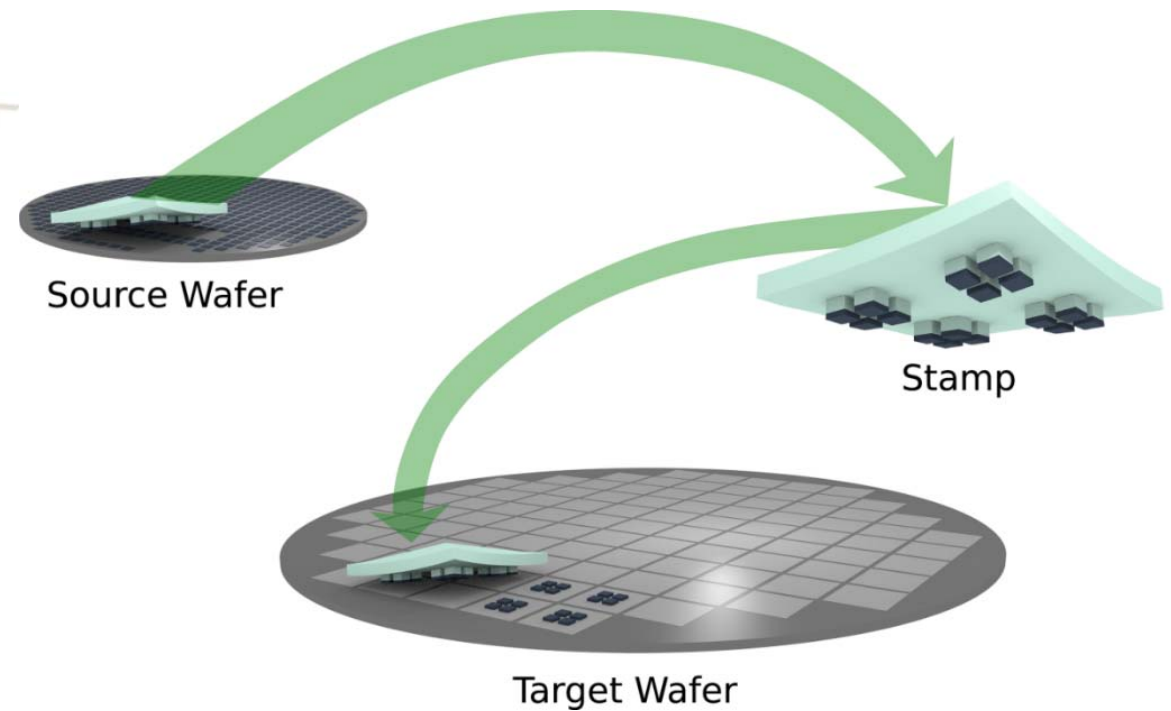
LEUVEN (Belgium), January 31, 2023 — Today, at an invited talk at SPIE Photonics West (San Francisco), imec, a world-leading research and innovation hub in nanoelectronics and digital technologies, announced that it demonstrated co-integration of its high-quality silicon nitride waveguide technology with its silicon photonics platform—without performance degradation of the high-bandwidth active devices. An important

Additive Manufacturing through Micro-transfer Printing



Inherits advantages of FC (known-good die, back-end) & D2W (high throughput, efficient coupling)

Additive Manufacturing through Micro-transfer Printing

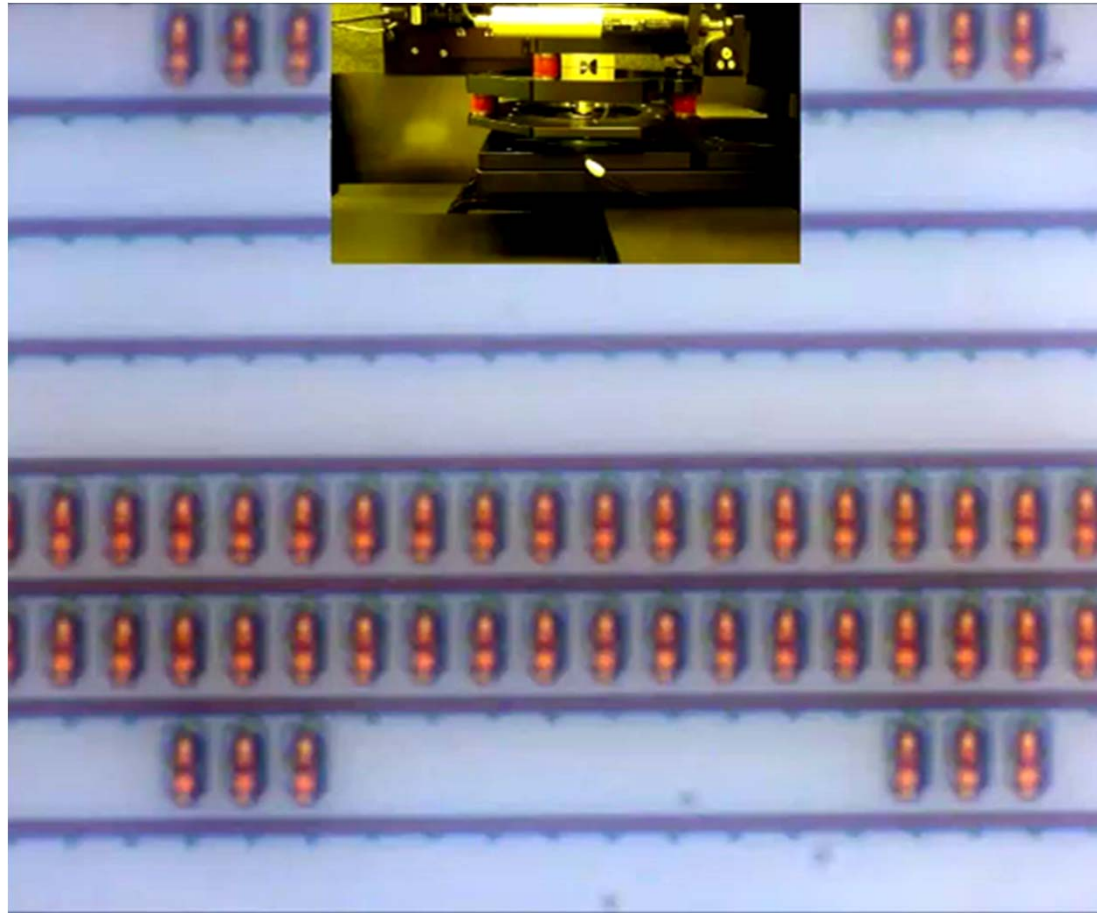


Position tolerance of $\pm 0.5 \mu\text{m}$ at 3σ in $2\text{cm} \times 2\text{cm}$ arrays
1 print cycle: 45 sec

MICRO-TRANSFER PRINTING BASICS



Micro-transfer printing in action



- 1 cm² stamp,
- 10,000 posts,
- > 1M LEDs/hr

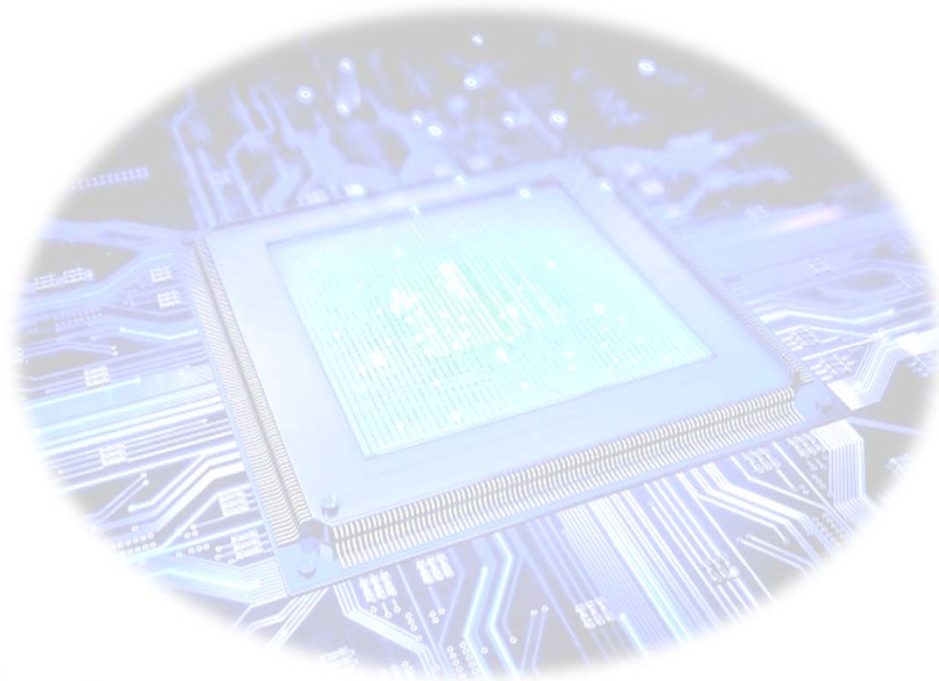
PROGRAMMABLE PHOTONICS

or photonic FPGAs

reconfigurable photonics

photonic processors

universal photonic circuits ...



Photonic Integrated Circuits
that **can be reconfigured**
using **software**
to perform **different functions.**

PROGRAMMABLE PHOTONICS

Lower development cost

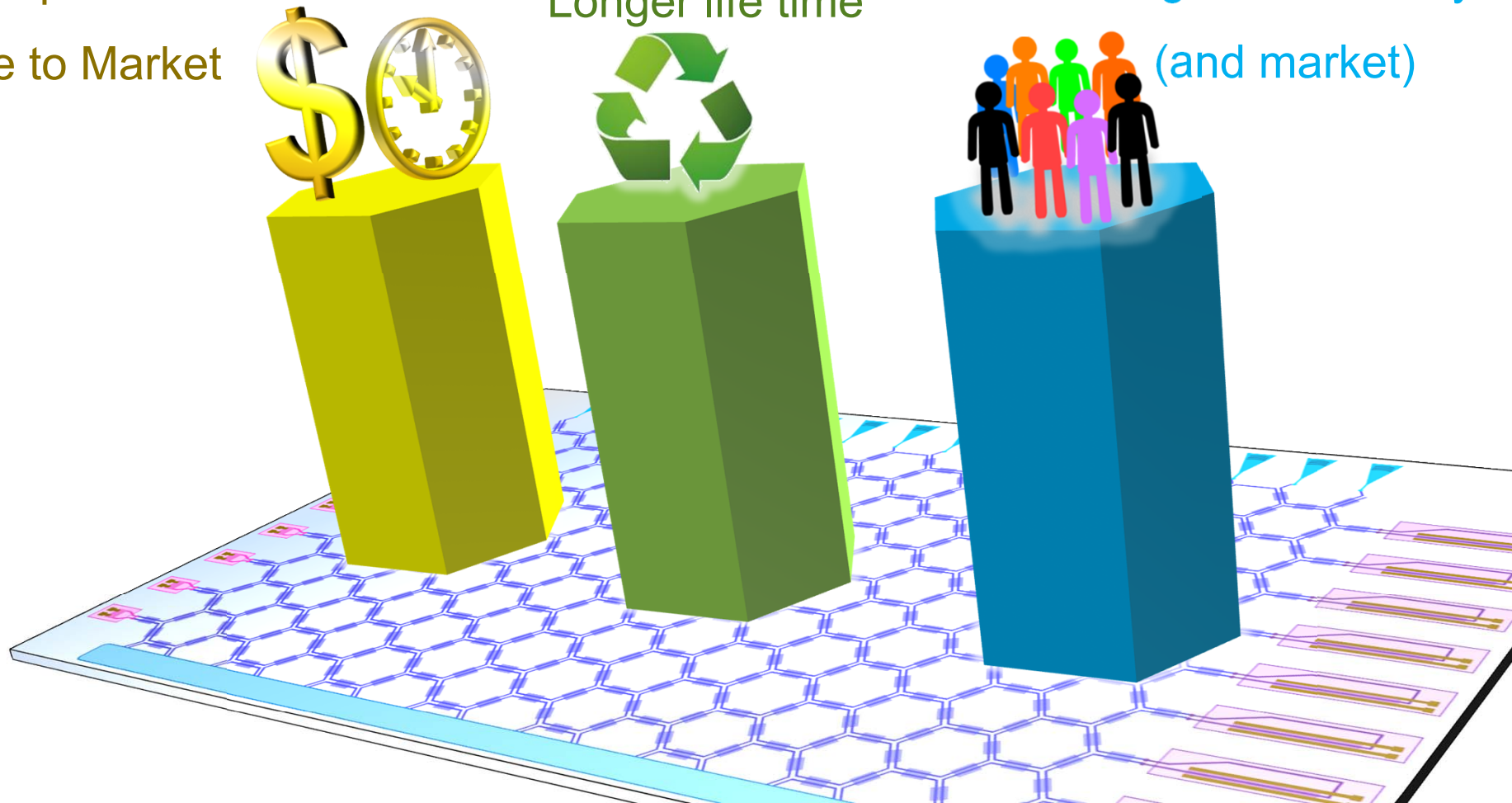
Shorter time to Market

Upgradability - Reuse

Longer life time

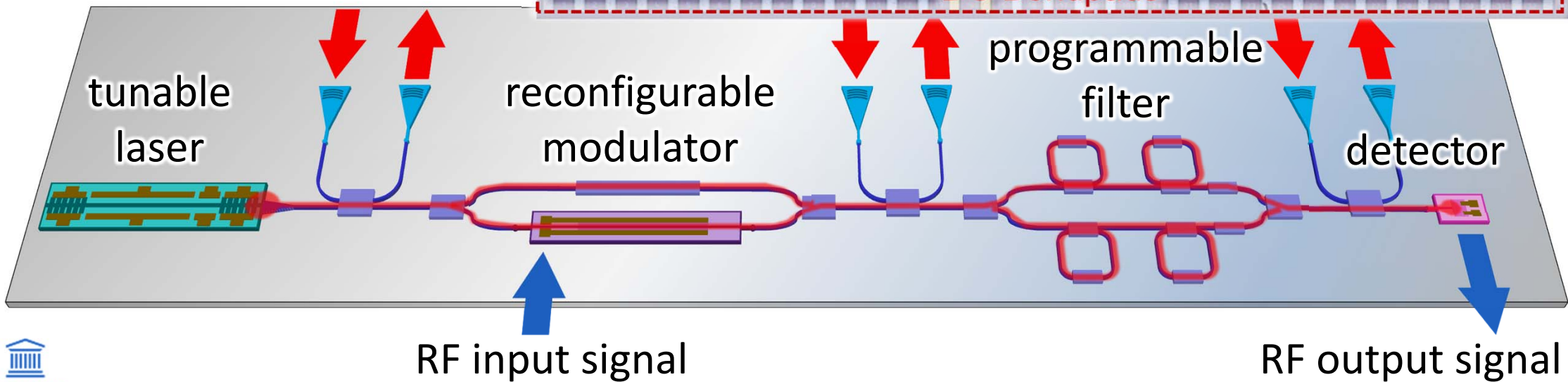
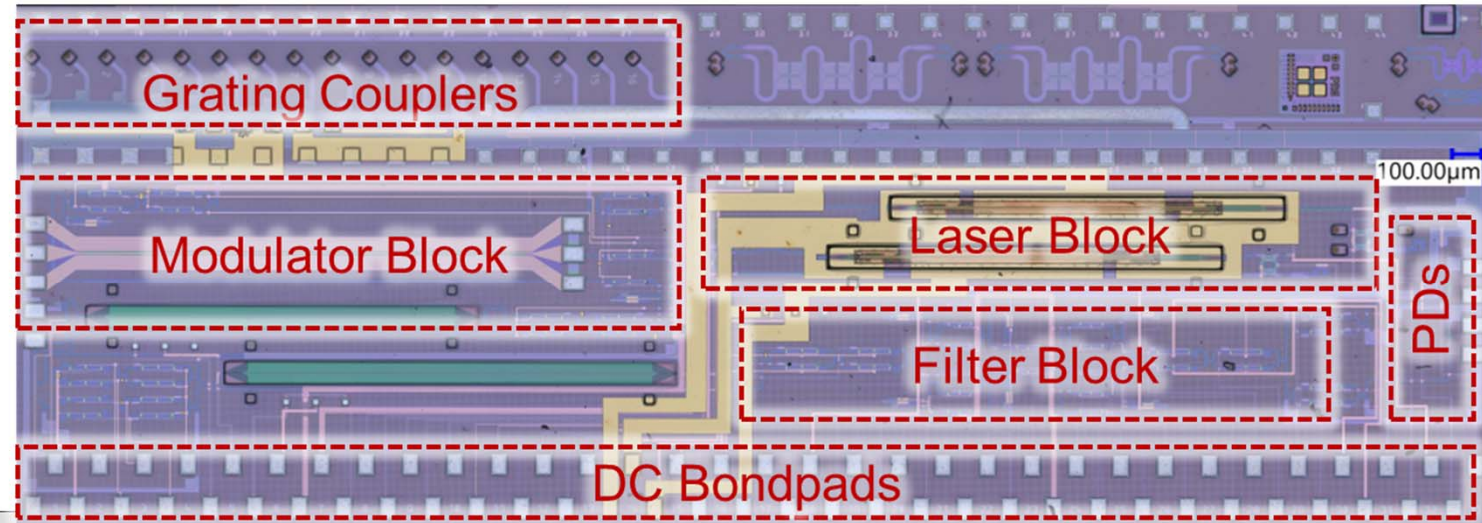
Larger Community

(and market)



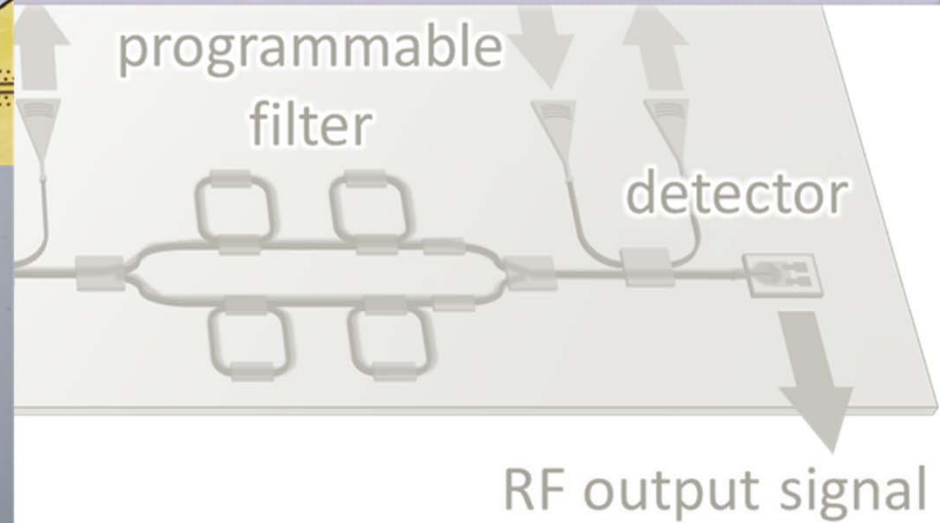
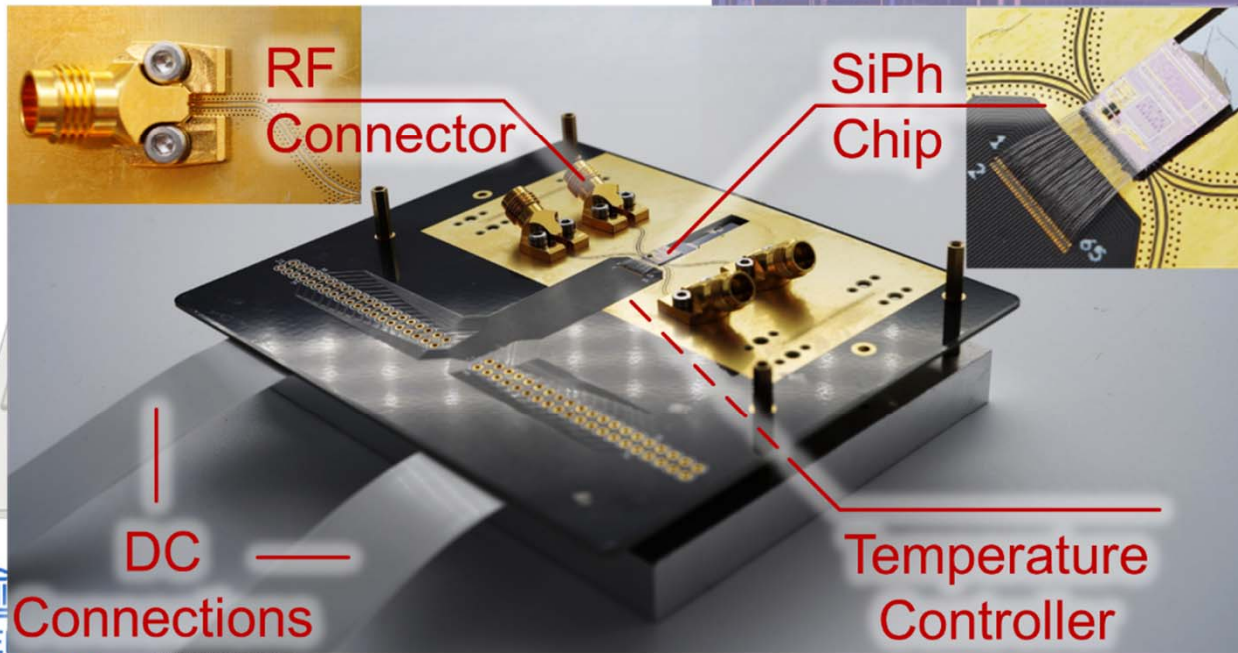
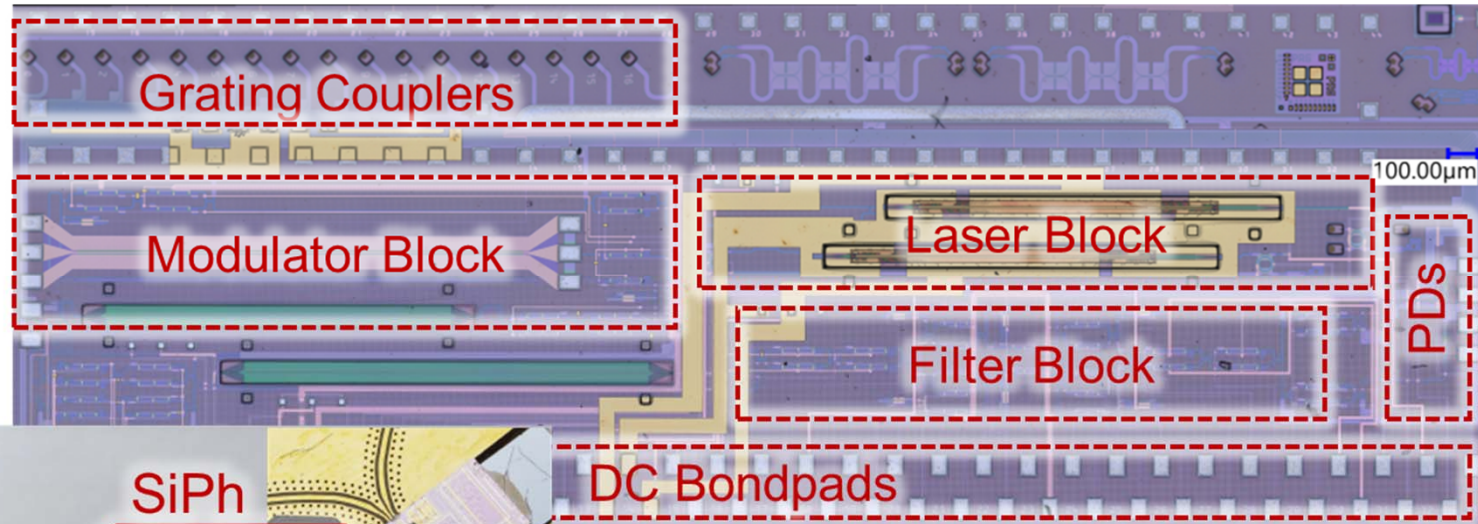
PROGRAMMABLE MICROWAVE PHOTONIC PROCESSOR

Implemented in IMEC's
iSiPP50G platform

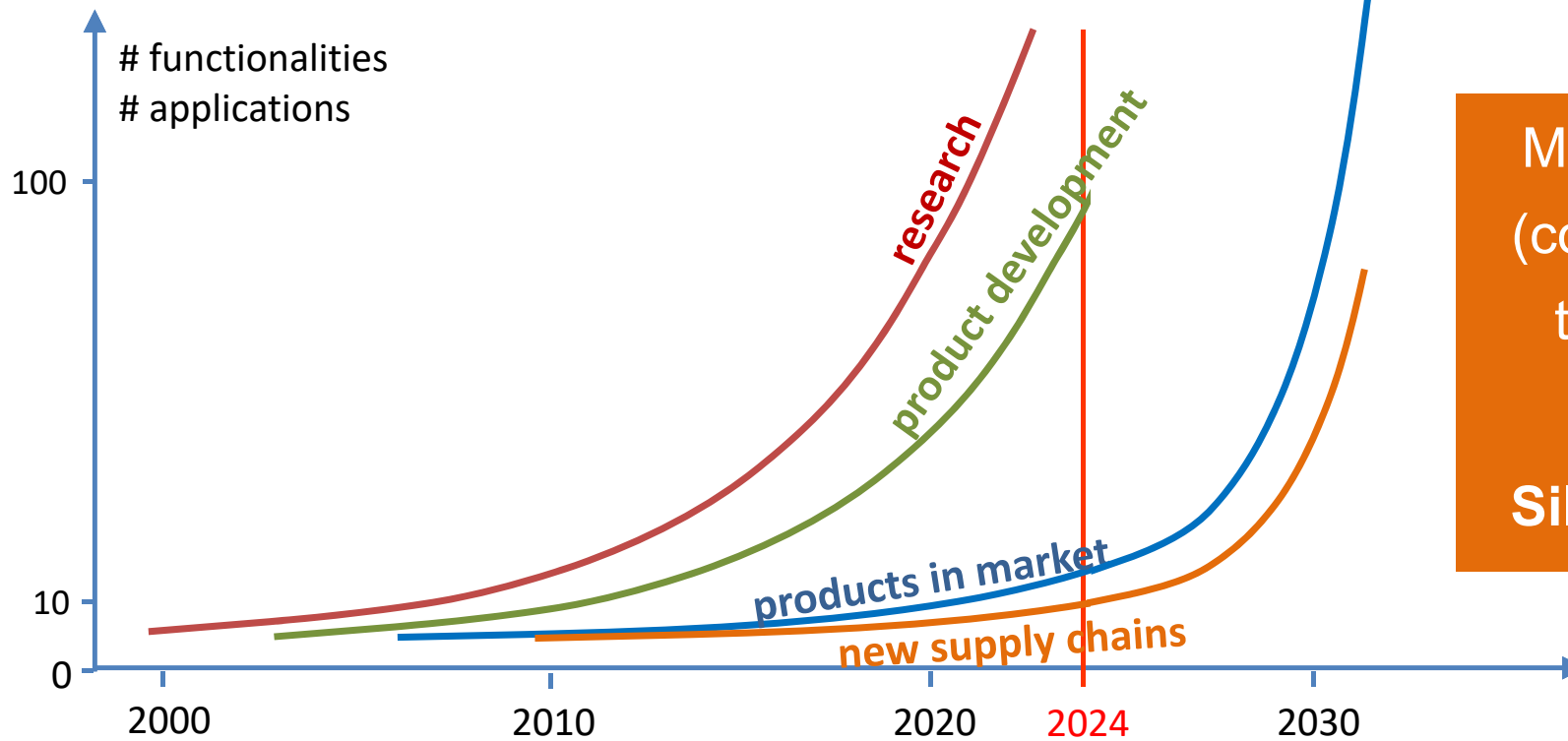


PROGRAMMABLE MICROWAVE PHOTONIC PROCESSOR

Implemented in IMEC's
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IN CONCLUSION



Mobilize all resources
(corporate, VC, public)
towards a common
vision, towards
Silicon Photonics 4.0

Observations

- Most manufacturing and product companies in the field of silicon photonics are US-based or SE-Asia-based
- The EU has very strong R&D players in silicon photonics
- India is building up strong R&D capacity in silicon photonics
- The field is diversifying quickly
- The diversity will bring opportunities for both EU and India
- Collaboration may be key in this context

ACKNOWLEDGEMENTS

Photonics Research Group of Ghent University – imec



imec at large

Special thanks to:

Philippe Absil, Wim Bogaerts, Bart Kuyken, Nicolas Le Thomas, Gunther Roelkens, Joris Van Campenhout, Pol Van Dorpe



European
Research
Council





THANK YOU



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www.icos-semiconductors.eu