	Title	Data Management Plan		
	Author	Cyril Chevaux	Version	5

ICOS Data Management Plan

Project Number: 101092562


Project Acronym: ICOS

Project Title: International Cooperation On Semiconductors

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Approvals

Name, Org.	Role	Date
Cyril Chevaux, Grenoble INP	WP1 Leader	30-06-2023
Francis Balestra, Grenoble INP - CNRS	Coordinator	30-06-2023





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Executive Summary

The ICOS Data Management Plan (DMP) is the first deliverable of the project (D1.1). Its writing has been supervised by WP1, in charge of the project management. The DMP highlights how the data collected, processed and produced throughout the project will be handled. It is based on the official template provided by the European Commission.

The ICOS DMP revolves around the presentation of the data of the project, and how the project plans on making them FAIR according to the European Commission standards. It considers the specificities of the project in terms of types of outputs, which are mainly recommendations for future collaborations, directed to political leaders and decision makers.

The first section “Data Summary” has been written according to the core Work Packages (WP2 to 5) and their deliverables. WP1 (Management) and WP6 (Communication, dissemination and exploitation) will not produce data worth adding to this part. The rest of the DMP has been thought as a whole, and relates to all the Work Packages.

The ICOSDMP will be regularly updated during the lifetime of the project. Indeed, some Work Packages such as WP2 already have a very detailed knowledge of the data used and generated, whereas other work packages such as WP5 have not started yet and will have to specify the data used later on.

1 Data summary

Will you re-use any existing data and what will you re-use it for? State the reasons if re-use of any existing data has been considered but discarded.

What types and formats of data will the project generate or re-use?

What is the purpose of the data generation or re-use and its relation to the objectives of the project?


What is the expected size of the data that you intend to generate or re-use?

What is the origin/provenance of the data, either generated or re-used?

To whom might your data be useful ('data utility'), outside your project?


The kind, size, and specifics of the data manipulated by ICOS has some variety, depending on the work packages, especially regarding the re-used data. Moreover, if some WPs already know specifically the data they will re-use, other do not have a very specific and complete vision of them yet. Therefore, the DMP needs to be updated during the project, in order to include more information.

The WP2 “Economic Landscape Analysis” is already well advanced and will re-use a specific set of data already identified:

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- **Annual reports & company financials.** A large part of WP2 consists in identifying companies across the semiconductor value chain and collecting and analyzing their annuals reports and company financials. This information is public.
- **Open databases, market data and market reports.** ICOS WP2 also collects and base its analysis on a series of databases, market data and market reports that are opened to the public: WSTS, IC Insights, Knometa Research, Yole Développement, GSA, SIA, ESIA, Photonics21, SEMI, Electronic System Design Alliance (ESD), Techcet, IPC, EIT Raw Materials, European Photonics Industry Consortium (EPIC), Omdia, Nomura Research Institute, Semiconductor Equipment Association of Japan (SEAJ), Institute Of Semiconductors (IOS), Chinese Academy of Sciences (CAS), Chinese Semiconductor Industry Association (SMI), Singapore Semiconductor Industry Association, Taiwan Semiconductor Industry Association (TSIA)... This information is public.
- **Public statistics.** ICOS WP2 also collects data from public databases: Eurostat (SBS, Prodcom), OECD (STAN), World Bank, US Census, Japan Census, etc. This information is public.
- **Online questionnaire.** A dedicated online questionnaire has been designed to run from early February to the 30 June 2023. Respondents can be semiconductor professionals (persons) or semiconductor-related organizations. The data is collected on the platform “SurveyMonkey” and will be stored until the end of the project, after which it will be deleted. The data collected is also systematically anonymized before it is exploited unless the respondents specifically accepted for their answers to be made public. In this last case, the answers can be made public and will not be deleted in the end of the project.
- **Non-public information from companies.** Within WP2, specific data from ICOS members are collected: ST Micro, NXP, Infineon and Bosch. Such information is used to build strong and reliable figures positioning the EU in comparison with the other countries. The purpose is not in any case to leverage information on a specific company. Given the level of confidentiality of such data, they are not made public, and they are also not communicated within the ICOS consortium. They are only collected by DECISION as WP2 leader, then anonymized and used to ensure the quality of WP2 results. They will be deleted at the end of WP2.
- **Databases from SEMI.** Two databases from SEMI have also been purchased for the purpose of WP2: “World Fab Forecast” of May 2023 and “World Assembly & test facility database” of May 2023. These databases provide detailed information on the front-end and back-end manufacturing facilities by country. The scope of these databases is the World. DECISION crosses the information of



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these databases with financial information from companies to precisely position the different countries in terms of production capacities. SEMI owns the property of these databases. DECISION purchased a single access for the purpose of WP2. Therefore, the content of the database cannot be shared to the public nor to ICOS partners.

- **Databases from DECISION.** For the purpose of WP2, DECISION leverage several internal databases. Two databases in particular:
 - **Global semiconductor database:** DECISION’s database listing companies across the semiconductor value-chain by country and providing financial information on these companies.
 - **World Electronics Industries (WEI):** DECISION’s database providing production values of electronics systems by segment (Automotive, Industrial & Robotics, Phones...) at the global level, by country / region and with forecasts up to 2027. This database provides information on the location of the production of electronics systems, and therefore on the location of the markets for semiconductors by application.

This database is the property of DECISION. Therefore, the content of the database cannot be shared to the public nor to ICOS partners.


WP2 consists notably in the building of a detailed database crossing the different sources of information listed above.

The online questionnaire is used for qualitative purpose. It is used to guide the orientation of the research carried out within WP2 by identifying relevant players, important sub-segments, applications or technologies and potentials for R&D cooperation.

The information of DECISION semiconductor database, of the two SEMI databases of the annual reports and financial documents of companies and of private and public statistics (WSTS, Japan Census) are crossed in order to get a comprehensive picture of the global semiconductor industry in 2022:

- By country / region: The EU27, the USA, China, Japan, South Korea, Taiwan, Singapore, RoW.
- By value-chain level: IP Design, EDA, Design, Front-end, back-end, equipment & tools (mask reticle, Epitaxy, Photolithography, Etching & Cleaning, Diffusion / Implant / Metal, Inspection / Measurement, back-end), wafer production, IC substrate and raw materials.
- By product: Microprocessors, MCU, memories, MEMS & Sensors...



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- In terms of market shares of players by nationality of capital ownership, but also in terms of location of production.

This final database will enable WP2 to position in detail the 7 countries / regions studied and to identify relative strengths and weaknesses.

The Eurostat Prodcom database is used to measure foreign trade between the EU and the 6 other countries in terms of semiconductors and therefore to identify specific strategic dependencies.

In parallel, insights on the semiconductor market by application will come from DECISION's World Electronics Industries database but also from organizations such as the WSTS.

The other WPs "WP3 - Technology Scanning and Foresight", "WP4 - Cooperation Framework" and "WP5 - Foundation for International Cooperation" cannot provide such a specific and comprehensive knowledge of the data they will re-use yet, especially because they will mainly build their analysis on the results of WP2, on desk research yet to be conducted, and on the various workshops and events organized by the ICOS project.

Open and publicly available material such as the International Roadmap for Devices and Systems (IRDS) will constitute a great deal of the additional data used in order to conduct the ICOS work.


Interviews with key stakeholders may also be conducted to achieve the objectives of ICOS.

If necessary, other types of data used will be added in subsequent versions of the Data Management Plan.

Regarding the data produced, being a Cooperation and Support Action (CSA) type of project, ICOS is not focused on research *per se*. In this sense, the scientific data generated by the project is mainly intended as the deliverables, published in the form of text reports, as well as various documents issued from events, workshops, or project gatherings, such as slideshows or minutes.

Preferred types extensions are therefore:

- .pdf
- .docx
- .pptx
- .xlsx

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These extensions are very widely spread, and can easily be opened with free and open software, allowing the data produced to be accessible to anyone.

From a general perspective, the total size of the data collected and produced is difficult to quantify, although it is not expected that the project will reuse or produced heavily sized data.

In order to provide a rough estimate, we can consider that our public reports should not exceed 500Mo.

Below is the list of the deliverables in the form of reports produced by ICOS, constituting the main data produced by the project (with the exception of the DMP, the Website, and the Initial and intermediate Plans for Dissemination, Communication and Exploitation):

Work Package	Deliverable No	Deliverable Name	Description	Dissemination Level
WP2	D2.1	Economic analysis of the EU and international semiconductor ecosystem	Analysis of the semiconductor industrial ecosystems of the EU and of 6 non-EU countries for potential cooperation: Industrial strengths & weaknesses, strategic autonomy of the EU and market opportunities	Public (PU)
WP2	D2.2	Past & existing EU-International cooperation	Identification of the most successful past cooperation and of the on-going cooperation with 6 non-EU countries	PU
WP2	D2.3	Monitoring semiconductor value chains	Update of key and/or specific findings of D2.1 based on the development of partnerships	PU
WP3	D3.1	Future Technologies in Advanced Computation	Identified next-generation and emerging technologies in Advanced Computation and expected impact	PU
WP3	D3.2	Future Technologies for Advanced Functionality	Identified next-generation and emerging technologies for Advanced Functionality and expected impact	PU
WP3	D3.3	Recommendations for International Research Cooperation	Research topic recommendations for international cooperation including quantitative assessment and technical milestones	PU
WP3	D3.4	Updated Technology Scanning and Foresight	Updates on future emerging technologies and recommendations including newly emerged paradigms	PU
WP4	D4.1	White paper on international cooperation in the semiconductor field	White paper about generic challenges and needs in the semiconductor field for which international cooperation driven by public authorities is critically important	PU
WP4	D4.2	Cooperation cases on Advanced Computation		Sensitive (SEN)

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WP4	D4.3	Cooperation cases on Advanced Functionality		SEN
WP4	D4.4	Priorities for cooperation		SEN
WP5	D5.1	Matrix with country specific frameworks and cooperation formats		SEN
WP5	D5.2	Report on Potential R&D initiatives/collaboration/partnerships		SEN
WP5	D5.3	Recommendations on Standardisation	A set of recommendations for standardisation activities and promotion will be reported	PU
WP5	D5.4	Report on the engagement with stakeholders in international cooperation		SEN
WP5	D5.5	Policy advice on international cooperation in semiconductors	From the agenda for international cooperation in semiconductor policy advice will be derived.	PU
WP6	D6.4	Intermediate Communication & Dissemination Report	First Report on the Communication/Dissemination actions and Events organised.	PU
WP6	D6.5	Final Communication & Dissemination Report actions	Final Report on the Communication/Dissemination actions and Events organised	PU

Table 1: ICOS deliverables constituting the project data produced


Eventually, the data generated by ICOS will be found useful by political leaders and decision-makers, as well as any researchers or industrial company working on the topic of semiconductors, wishing to collaborate at the international level or to position themselves in the international semiconductor's environment. Our main target is the European Commission.

2 FAIR data

2.1 Making data findable, including provisions for metadata

Will data be identified by a persistent identifier?

Will rich metadata be provided to allow discovery? What metadata will be created? What disciplinary or general standards will be followed? In case metadata standards do not exist in your discipline, please outline what type of metadata will be created and how.

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Will search keywords be provided in the metadata to optimize the possibility for discovery and then potential re-use?

Will metadata be offered in such a way that it can be harvested and indexed?

Given the large amount of reports and deliverables, a potential solution is to compile the different deliverables and / or reports according to their object, that will then be attributed a persistent identifier. This solution will be explored further later on during the project.

Data file must have a clearly identifiable name, following the structure:


ICOS_[type of document]_[name]_[date DDMMYYYY]

E.g. ICOS_D1.1_DataManagementPlan_30062023

Rich metadata will be embedded in the files of the documents produced by the project, especially the deliverables in the forms of reports. No specific standards will be followed. Metadata will be open, in line with the FAIR principle, and will include (if possible):

- Title
- Subject
- Description
- Source
- Relation
- Coverage
- Language
- Creator
- Publisher
- Contributor
- Rights
- Date
- Type
- Format
- Identifier
- Horizon Europe funding
- Project acronym
- Grant number

For each data, a selection of keywords will be added. General keywords such as “Semiconductors”, “Europe”, “Strategy”, “Chips Act”, “Computation”, “Functionalities”, “Sensing”, “Power devices”, “Energy harvesting”, “Photonics”, “USA”, “Taiwan”, “Japan”, “Korea”, “Singapore”, “China” have already been identified.

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2.2 Making data accessible

2.2.1 Making data accessible: Repository

Will the data be deposited in a trusted repository?

Have you explored appropriate arrangements with the identified repository where your data will be deposited?

Does the repository ensure that the data is assigned an identifier? Will the repository resolve the identifier to a digital object?

Data will be made available on several websites online:

- the ICOS website <https://icos-semiconductors.eu/>
- the SiNANO Institute website when needed <https://www.sinano.eu/>
- the European Commission CORDIS platform <https://cordis.europa.eu/>

These websites are free of charge for project use and openly accessible to anyone, with the exception of the ICOS website which is financed by the project.

No persistent identifier such as DOI will be attributed by these websites.

2.2.2 Making data accessible: Data

Will all data be made openly available? If certain datasets cannot be shared (or need to be shared under restricted access conditions), explain why, clearly separating legal and contractual reasons from intentional restrictions. Note that in multi-beneficiary projects it is also possible for specific beneficiaries to keep their data closed if opening their data goes against their legitimate interests or other constraints as per the Grant Agreement.


If an embargo is applied to give time to publish or seek protection of the intellectual property (e.g. patents), specify why and how long this will apply, bearing in mind that research data should be made available as soon as possible.

Will the data be accessible through a free and standardized access protocol?

If there are restrictions on use, how will access be provided to the data, both during and after the end of the project?

How will the identity of the person accessing the data be ascertained?

Is there a need for a data access committee (e.g. to evaluate/approve access requests to personal/sensitive data)?

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Data produced will largely be made available with some exceptions.

Public data will be made openly available for all. This consists in the majority of ICOS outputs and deliverables, which will be made available on our website and other identified repositories.

Restricted data will be made available at the level of the consortium only. This consists on working documents and deliverables identified as “Sensitive” in the Grant Agreement, containing restricted information. They will not be made accessible, except to the European Commission and the Reviewers.

Rare cases of confidential data will not be made available. Identified data falling into this category is the WP2 "economic landscape analysis" database. Given the confidentiality of the data used for WP2 (DECISION, SEMI, ST Micro, Infineon, Bosch, NXP), the database built will not be communicated to the public nor to ICOS partners. Only the results in terms of production and market by country will be shared and made public, through the deliverable D2.1.

2.2.3 Making data accessible: Metadata

Will metadata be made openly available and licenced under a public domain dedication CC0, as per the Grant Agreement? If not, please clarify why. Will metadata contain information to enable the user to access the data?


How long will the data remain available and findable? Will metadata be guaranteed to remain available after data is no longer available?

Will documentation or reference about any software be needed to access or read the data be included? Will it be possible to include the relevant software (e.g. in open source code)?

Metadata will be made openly accessible for any data produced by the project, using CC0 standards <https://creativecommons.org/publicdomain/zero/1.0/>

No expiration of the data is planned. Data will remain accessible on the selected websites and repository as long as possible. Metadata will be embedded in the data files, and therefore will not necessarily remain accessible after the data is no longer available.

As previously mentioned, the data will be published in very widely used formats (.pdf, .docx, .pptx, .xlsx). Necessary software are widespread, to an extent that no need for further information seems needed.

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2.3 Making data interoperable

What data and metadata vocabularies, standards, formats or methodologies will you follow to make your data interoperable to allow data exchange and re-use within and across disciplines? Will you follow community-endorsed interoperability best practices? Which ones?

In case it is unavoidable that you use uncommon or generate project specific ontologies or vocabularies, will you provide mappings to more commonly used ontologies? Will you openly publish the generated ontologies or vocabularies to allow reusing, refining or extending them?

Will your data include qualified references to other data (e.g. other data from your project, or datasets from previous research)?

No barrier to data interoperability has been identified. Indeed, reports will be by nature accessible, reusable, and interoperable.

No specific vocabulary or standard will be used.

Reports will be built on data collected during the project (workshop reports, analysis of previous databases, others).

2.4 Increase data re-use

How will you provide documentation needed to validate data analysis and facilitate data re-use (e.g. readme files with information on methodology, codebooks, data cleaning, analyses, variable definitions, units of measurement, etc.)?

Will your data be made freely available in the public domain to permit the widest re-use possible? Will your data be licensed using standard reuse licenses, in line with the obligations set out in the Grant Agreement?


Will the data produced in the project be useable by third parties, in particular after the end of the project?

Will the provenance of the data be thoroughly documented using the appropriate standards?

Describe all relevant data quality assurance processes.

Further to the FAIR principles, DMPs should also address research outputs other than data, and should carefully consider aspects related to the allocation of resources, data security and ethical aspects.

Considering that the data produced by the ICOS project will be in the form of text reports, they will be mostly self-sufficient. No extra documentation will be required to re-use the data.

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Public reports will be available and re-usable for free online under the standard reuse licence CC BY 4.0 with a link to the notice: <https://creativecommons.org/licenses/by/4.0/>

Sensitive reports will not be available online, and their re-use will be submitted to authorization from the Consortium upon request.

Methodology and data provenance should be explained in the reports, in order to ensure the quality of the data produced. Some reports will be built based on the previous deliverables of the project and won't necessarily show a history of all the methodology. Compiling reports into sets before publication into online repositories could increase the understandability and the visibility of the methodology used.

Each report due will be written by a project's team under the responsibility of a task manager. It will be reviewed by the WP leader, then made available for thorough review by relevant members of the Consortium before submission (in the case of a deliverable) or publication online (for other reports). Final validation will be granted by the Coordinator of the project.

This process ensures the solidity of the scientific methodology and the production of high-quality outputs.


3 Other research outputs

In addition to the management of data, beneficiaries should also consider and plan for the management of other research outputs that may be generated or re-used throughout their projects. Such outputs can be either digital (e.g. software, workflows, protocols, models, etc.) or physical (e.g. new materials, antibodies, reagents, samples, etc.). Beneficiaries should consider which of the questions pertaining to FAIR data above, can apply to the management of other research outputs, and should strive to provide sufficient detail on how their research outputs will be managed and shared, or made available for re-use, in line with the FAIR principles.

No potential other research outputs have been identified at present time. Any extra output unplanned at the moment is unlikely to be of other nature than text reports.

In the scarce eventuality that other research outputs of another kind shall be generated by the project, their management shall follow the FAIR principles and be treated like the data planned to be generated by the project, as mentioned previously.

In the case the other research outputs could represent a legitimate threat to the interests of one or several of the partners, the ICOS consortium shall do its best to find an adequate solution for its management, and make it as open as possible, and as closed as necessary.

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4 Allocation of resources

What will the costs be for making data or other research outputs FAIR in your project (e.g. direct and indirect costs related to storage, archiving, re-use, security, etc.)? How will these be covered? Note that costs related to research data/output management are eligible as part of the Horizon Europe grant (if compliant with the Grant Agreement conditions) Who will be responsible for data management in your project? How will long term preservation be ensured? Discuss the necessary resources to accomplish this (costs and potential value, who decides and how, what data will be kept and for how long)?

Costs allocated to the management of the project data and making data FAIR for the project can be divided into two categories.

Quantifiable costs directly covered by the project: this category essentially includes the fraction of the personal costs related to the management of data, which is estimated to be around 5% of the time of the project manager hired on the project (4000€ for the duration of the project).


Unquantifiable costs covered by the indirect costs and by the partners: this category includes the fraction of the costs induced by the management and the storage of the extra data generated by the project, and supported by the partners (e.g. the cost of making internal servers secured, of hiring Data Protection Officers, of supporting a Data Management Unit at the level of a structure, etc).

In the framework of the ICOS project, the Project Manager hired at Grenoble INP will be responsible for data management and will ensure the long-term preservation of data. This will go through a process of selecting, classifying, organizing, and archiving relevant data in the framework of the project throughout its lifetime and beyond, according to the standards defined in this DMP.

Already identified data to be preserved for a duration of minimum 10 years after the end of the project are:

- Legal and contractual documents of the project
- Deliverables
- Reports published during the project, especially those issued from workshops organized by the project
- Slideshows presented during project workshops
- Key working documents, identified to be of particular interest

The ICOS Management team and the Steering Committee will be closely linked and involved to the project data management when relevant.

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5 Data security

What provisions are or will be in place for data security (including data recovery as well as secure storage/archiving and transfer of sensitive data)? Will the data be safely stored in trusted repositories for long term preservation and curation?

Data will be stored on several secured places in order to ensure security, recoverability, and long-term preservation.

Places are mainly (but not limited to):


- Personal computers of project members (for working documents)
- The coordinator's secured server operated by the coordinator's staff, protected by security protocols and identification procedures, with recovery backups (for working documents, legal documents, contractual documents, final reports)
- The partners' secured servers and cloud systems (especially for working documents, legal documents, contractual documents, final reports)
- Online, on the ICOS website, hosted by OVH server for a length of minimum 3 years after the end of the project (for working documents, legal documents, contractual documents, final reports).
- Online, on the SiNANO website when necessary, especially after the ICOS website will expire (in order to ensure the availability of the data).
- Online, on the European platform CORDIS <https://cordis.europa.eu/> (for final reports)

The Coordinator of the project, Grenoble INP, will be particularly involved and will make sure that the project data will be stored on its server and kept accessible on the long-term. Online solutions mentioned above should also allow for long-term accessibility of the data for a long period of time.

6 Ethics

Are there, or could there be, any ethics or legal issues that can have an impact on data sharing? These can also be discussed in the context of the ethics review. If relevant, include references to ethics deliverables and ethics chapter in the Description of the Action (DoA). Will informed consent for data sharing and long term preservation be included in questionnaires dealing with personal data?

Personal data collected during the project will be used for the purposes of the project only, and treated according to GDPR. They will be deleted after the completion of the project.

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The collection of personal data is not systematic and is limited to contact information (name, email address, phone number, position in their organisation). Personal data is collected based on the agreement of the person concerned.

Reason for collection is mainly (but not limited to):

- participation to the project work
- participation to the events organised by the project
- participation to online surveys (in this case, answers are anonymised and personal data is not stored nor reused for other purposes).

Experts invited to events at the project expenses will be asked to provide bank information in order to proceed to the reimbursement of travel costs. Proofs of transfers will be kept in the project records; bank information will be deleted after the project.

Pictures of project members, invited experts, or attendees to the project events will be made, stored and potentially published for communication purposes. These pictures will only be made in the context of public events and gatherings – therefore compliant with the image rights of the persons.

7 Other issues

Do you, or will you, make use of other national/funder/sectorial/departmental procedures for data management? If yes, which ones (please list and briefly describe them)?

No other types of procedure have been considered for the project.

